

Pediatric Hematopoietic Cell Transplantation: Longitudinal Trends in Body Mass Index and Outcomes

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Abstract

Background Obesity is an increasing problem in the United States, with one in five adolescents obese. Past studies have shown that pediatric recipients of hematopoietic cell transplants (HCT) may have a higher susceptibility to overweight and obesity. **Procedure** This is a single-center retrospective analysis of 297 pediatric patients who received HCT between 2005-2018. Patients were divided into four weight categories of underweight (UW), normal weight (NW), overweight (OW), and obese (OB) based on age adjusted body mass index (BMI) conversion scales. Post-transplant outcomes included acute graft vs. host disease (GVHD), chronic graft vs. host disease, viral infection rates, time to platelet and neutrophil engraftment, and overall survival. **Results** In the pre-transplant period, the percentage of individuals who were UW, NW, OW, and OB were 5.4%, 54.5%, 22.2%, and 17.8% respectively. At the five-year post-transplant mark, those numbers were 10.6%, 48.2%, 16.5%, and 24.7%. Overall, BMI was found to increase 0.00094 ± 0.0001 kg/m² each day after transplant ($p < 0.001$), with older individuals demonstrating greater trends of BMI increase. Further, there was a larger BMI increase in those who did not receive TBI compared with those who received TBI (1.29 ± 0.49 , $p=0.008$). The rates of acute graft vs. host disease (GVHD), chronic GVHD, and viral infection, in addition to time to platelet and neutrophil engraftment and 5-year survival estimate, were not significantly different among weight groups. **Conclusion** Pediatric HCT recipients are at high risk of developing overweight or obesity after transplant.

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Running Title: Pediatric hematopoietic cell transplant obesity

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Abbreviation	Full term
ALL	acute lymphoblastic leukemia
AML	acute myeloid leukemia
BMI	body mass index
GVHD	graft vs host disease
HCT	hematopoietic cell transplant
HR	hazard ratio
NW	normal weight
OB	obese
OW	overweight
TBI	total body irradiation
UW	underweight
WHO	world health organization

Abstract

Background

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Procedure

This is a single-center retrospective analysis of 297 pediatric patients who received HCT between 2005-2018. Patients were divided into four weight categories of underweight (UW), normal weight (NW), overweight (OW), and obese (OB) based on age adjusted body mass index (BMI) conversion scales. Post-transplant outcomes included acute graft vs. host disease (GVHD), chronic graft vs. host disease, viral infection rates, time to platelet and neutrophil engraftment, and overall survival.

Results

In the pre-transplant period, the percentage of individuals who were UW, NW, OW, and OB were 5.4%, 54.5%, 22.2%, and 17.8% respectively. At the five-year post-transplant mark, those numbers were 10.6%,

48.2%, 16.5%, and 24.7%. Overall, BMI was found to increase $0.00094 \pm 0.0001 \text{ kg/m}^2$ each day after transplant ($p < 0.001$), with older individuals demonstrating greater trends of BMI increase. Further, there was a larger BMI increase in those who did not receive TBI compared with those who received TBI (1.29 ± 0.49 , $p=0.008$). The rates of acute graft vs. host disease (GVHD), chronic GVHD, and viral infection, in addition to time to platelet and neutrophil engraftment and 5-year survival estimate, were not significantly different among weight groups.

Conclusion

Pediatric HCT recipients are at high risk of developing overweight or obesity after transplant.

Introduction

Obesity is an increasing problem in the United States, with one in five adolescents obese,¹ and a leading cause of morbidity and mortality. The negative consequences of obesity on health has been well documented in the literature,^{2,3} prompting clinical and public health measures for both treatment and prevention.⁴ Further, certain individuals, such as survivors of childhood malignant diseases including acute lymphoblastic and myeloid leukemias (ALL and AML) have demonstrated increased risk for obesity⁵⁻⁸ and cardiometabolic disease⁹⁻¹¹ in comparison to their corresponding age group in the general population. This increased susceptibility for weight gain has been noted early in treatment, throughout treatment, and well beyond.¹²

Hematopoietic cell transplantation (HCT) is a well-established and widely utilized treatment for a variety of malignant and non-malignant conditions.¹³ Efforts to better understand and predict the impacts of comorbidities on transplant outcomes have led to the creation of the HCT comorbidity index, which includes obesity as one of the factors for risk assessment and prediction of nonrelapse mortality and survival.¹⁴ With recent studies demonstrating an association between obesity and reduced survival in adult¹⁵ and pediatric¹⁶ HCT recipients, the growing interest on body habitus, a potentially modifiable risk factor, and its effects on HCT outcomes have prompted research on the converse – the influence of HCT on body mass index (BMI). Thus far, the literature has demonstrated that pediatric HCT recipients may experience a reduction in BMI after transplantation,¹⁷ as well as an increase.¹⁸ As the data on the weight status of children after HCT is limited and inconsistent, further exploration in this field is needed.

In this study, we sought to characterize the interactions between pediatric BMI and HCT outcomes at our institution. We have included longitudinal data during the pre-transplant period up to 5 years post-transplantation, to investigate the trends in weight status and outcomes throughout the pre-transplant, immediate post-transplant, and long-term post-transplant periods.

Materials and Methods

Patient Characteristics and Outcomes Measured

We conducted a retrospective analysis of HCT patients treated at Mattel Children’s Hospital at the University of California, Los Angeles. This study was approved by the UCLA institutional review board (IRB # 17-001107). All patients who received HCT over a 14-year period between 2005 and 2018 were included in this study.

Data on diagnosis, age at transplant, sex, ethnicity, malignant, relationship to donor, stem cell source, antigen mismatch, and conditioning regimen with total body irradiation (TBI) were collected. Post-transplant follow-up data included acute graft vs. host disease (GVHD) within the first 100 days, chronic GVHD after 100 days and use of steroids, rates of viral infection, time to platelet and neutrophil engraftment, and overall survival.

Anthropometry

BMI (measured in kg/m^2) was evaluated from baseline (time of transplant) to up to five years post-transplant. Height and weight data were collected during clinic visits using a metric scale and wall mounted stadiometer. BMI from patients less than 20 years old were converted to percentiles using age appropriate scales. For

patients younger than 2 years, the "Child Growth Standards" SAS macro supplied by the World Health Organization (WHO) was used.¹⁹ For patients 2 – 20 years old, we used an SAS program from the Centers for Disease Control and Prevention.²⁰ Percentiles were stratified to weight groups according to the following: obese (> 95%), overweight (85 – 94.9%), normal weight (5 – 84.9%), and underweight (< 5%). For patients older than 20 years old, we used the WHO criteria for adults²¹ to stratify BMI according to the following: obese (> 30 kg/m²), overweight (25 – 29.9 kg/m²), normal weight (18.5 – 24.9 kg/m²), and underweight (< 18.5 kg/m²).

Statistical Analyses

Patient demographics and clinical characteristics were summarized by weight category using means and standard deviations for continuous variables and frequencies and percentages for categorical variables.

A mixed effects linear regression model was used to determine if there was a significant change from baseline BMI over time among the cohort. This model included a fixed effect for BMI and a random effect for the patient. Covariates included in the model were patient baseline age at time of transplant, antigen mismatch, sex, relapse, malignant, ethnicity, TBI, and transplant source. Further, the relationship between the change in BMI over time and age was explored by including an interaction term between age and time.

Fisher's exact test was used to determine if there were significant differences in the proportion of patients with GVHD (chronic and acute) and viral infections between the pre-transplant weight categories. The Kaplan-Meier method was used to estimate the median time to neutrophil and platelet engraftment and the overall survival rates at 5 years based on pre-transplant weight category.

Cox proportional hazards models were utilized to estimate the hazard ratio (HR) for overall survival, in addition to times to platelet engraftment and neutrophil engraftment, for patients in the overweight and obese pre-transplant weight categories versus patients in the normal weight/underweight pre-transplant weight category. Models were adjusted for age, transplant source, and mismatch. For overall survival, if patients did not die, they were censored at the time the data was pulled (i.e. December 31, 2019). For the analyses of time to neutrophil engraftment and time to platelet engraftment, a cause-specific hazards regression model was used to account for the competing risk of death for subjects who died before they could engraft. Patients were excluded if they were missing engraftment data due to death or transfer of care prior to engraftment. All HRs were accompanied by their corresponding 95% confidence interval (CI). SAS Version 9.4 (Cary, NC USA) was used for all statistical analyses. A p-value < 0.05 was considered statistically significant.

Results

Patient Characteristics

The demographics and clinical characteristics were collected for the 297 patients who were included in this study (Table 1). The average age at transplant was 8.4 years. Two-thirds of the patients were male (66.7%) and the majority were non-Caucasian (65.3%). Roughly half (56.6%) of the individuals received a 6/6 HLA matched transplant, and 68.4% were from an allogenic source. The majority of patients (78.8%) did not receive TBI for their conditioning regimen. The majority of patients had transplants for malignant diseases (65%).

BMI trends

The frequencies of BMI categories were compared at baseline, 100 days post-transplant, and at one-year time intervals until five years post-transplant. In the pre-transplant period, the percentage of individuals who were underweight (UW), normal weight (NW), overweight (OW), and obese (OB) were 5.4%, 54.5%, 22.2%, and 17.8% respectively (Table 2). At the five-year post-transplant mark, those numbers were 10.6%, 48.2%, 16.5%, and 24.7%, respectively.

The mixed effects linear regression model demonstrated a significant change from baseline BMI over time after controlling for age at transplantation, mismatch, sex, relapse, malignant, ethnicity, TBI, and transplant

source, where BMI was found to increase $0.00094 \pm 0.0001 \text{ kg/m}^2$ each day after transplant ($p < 0.001$). In addition, TBI was significant, such that there was greater change in BMI from baseline in those who did not receive TBI compared with those who received TBI (1.288 ± 0.496 , $p = 0.010$).

The inclusion of an interaction term between age and time further demonstrated distinct trends. Effects were estimated for the average 6-month-old, 2-year-old, 7-year-old, 12-year-old, and 16-year-old. While all patients overall experienced an increase in BMI over time that was more pronounced in older age groups (interaction effect between BMI and time: 0.000075 ± 0.00002 , $p < 0.001$), a drop in BMI in the first 100 days post-transplant, though not significant, was observed in the 7-year, 12-year, and 16-year old (Figure 1). Patients 6 months and 2 years of age demonstrated an increase in BMI within the first 100 days post-transplant that was not statistically significant. After 100 days post-transplant, all age groups demonstrated significant increases in BMI measured per 100-day increment. These trends of increasing BMI relative to baseline, starting from day 100 to 5 years, were more drastic with each older age group.

Post-Transplant Outcomes

The post-transplant outcomes were compared across weight categories. The rates of mild acute GVHD (grade 0-2) were 83.3%, 83.5%, 84.4%, and 74.4% and the rates of severe acute GVHD (grade 3-4) were 16.7%, 16.5%, 15.6%, and 25.6% for individuals in the UW, NW, OW, and OB weight categories respectively ($p = 0.54$) (Table 3). The rates of 0-limited chronic GVHD were 83.3%, 92.2%, 88.1%, and 94.4%, while the rates for moderate-severe chronic GVHD were 16.7%, 7.8%, 11.9%, and 5.6% for individuals in the UW, NW, OW, and OB weight categories respectively ($p = 0.48$). Individuals in the UW, NW, OW and OB weight categories did not demonstrate statistically significant differences in rates of viral infections ($p = 0.37$), time to platelet engraftment ($p = 0.99$), time to neutrophil engraftment ($p = 0.88$), or 5-year survival ($p = 0.32$).

Cox proportional hazards models estimated the hazard ratios for engraftment and overall survival. The hazard ratios for time to platelet engraftment were not significantly different when comparing patients in the OW category (HR 1.325, 95% CI 0.883 – 1.986, $p = 0.174$) and OB category (HR 1.205, 95% CI 0.799 – 1.818, $p = 0.374$) versus individuals in the NW/UW category. The hazard ratios for time to neutrophil engraftment were not significantly different when comparing patients who were OW (HR 1.238, 95% CI 0.885 – 1.731, $p = 0.213$) and OB (HR 0.878, 95% CI 0.593 – 1.298, $p = 0.513$) with NW/UW patients. The hazard ratios for overall survival for individuals in the OW weight category (HR 1.317, 95% CI 0.739 – 2.348, $p = 0.351$) and OB category (HR 1.072, 95% CI 0.576 – 1.994, $p = 0.827$) showed no significant differences compared with those who were NW/UW. The Kaplan-Meier plot of overall survival probability showed trends of worsened survival in OW and OB individuals compared with NW/UW individuals, though not significant ($p = 0.377$) (Figure 2).

Discussion

Obesity represents a growing health risk in this country. Pediatric patients with hematopoietic malignancies are no exception. In this study, we demonstrate that pediatric patients experience an increase in BMI after HCT. Prior to transplant, 40% of patients were overweight or obese according to BMI, of which 17.8% qualified as obese. At the five years post-transplant mark, 40.5% were overweight or obese, of which 24% were obese. While the total number of overweight and obese remained constant, the number of obese patients increased, likely secondary to overweight individuals becoming obese. Further, our longitudinal analysis of BMI trends over time demonstrated the highest rate of BMI increase in older patients. In the general US pediatric population, the prevalence of overweight and obesity is 31.8%, with 16.9% obese. Similarly, the prevalence is higher in older youth aged 12-19, with 34.5% overweight or obesity, of which 20.5% classify as obese.²² In Los Angeles County, 23.0% of school-aged children are obese.²³ Despite the full-time support of a registered dietician in both the inpatient and outpatient settings for the UCLA pediatrics hematology and oncology service, our cohort demonstrated similar rates of obesity compared with children in Los Angeles county, and higher rates of obesity compared with the general US pediatric population. This suggests that pediatric HCT patients have a high predisposition for obesity in the years following transplantation, with adolescents/young adults at highest risk.

Understanding the trends in BMI after HCT is critical, yet few longitudinal reports of body composition in HCT patients exist and the results are inconsistent. A European report characterized the trends in BMI for both adult and pediatric patients after HCT, noting that BMI remained stable in adults while it increased in children.²⁴ However, this study did not analyze BMI using age-appropriate scales in children. A more recent report adjusted BMI utilizing the same age conversion scales as we did. This study of 179 HCT recipients over a 10 year span demonstrated a drop in BMI after transplantation due to a reduction in lean mass.¹⁷ Conversely, it has been shown that childhood HCT recipients are at risk of developing central obesity that is not adequately captured through BMI measures.¹⁸ Our work contributes to this understudied topic, suggesting that childhood HCT survivors experience weight gain that disproportionately affects older patients.

There are several reasons that may contribute to this increased predisposition for obesity. Complications after HCT, such as GVHD, are frequently treated with steroids that can cause significant toxicity including weight gain and central obesity.²⁵ Sleep disruption is also common among HCT recipients,²⁶ which may influence weight status.²⁷ HCT recipients may experience physical fatigue and poor functional status that can persist for several years post-transplantation.²⁸ Further, chemotherapy can induce endothelial damage, causing capillary leakage and fluid retention.²⁹ While not all of these directly reflect nutritional status and degree of adiposity, indirect and direct factors that promote fatty deposition and sedentary lifestyles are concerning. Excessive weight and obesity induce a chronic low grade inflammatory state that predisposes to a wide variety of conditions, including metabolic syndrome.³⁰ The impacts of obesity on HCT is complex, such that excess adiposity may directly affect disease pathogenesis and alter pharmacodynamics, further complicated by the often varying conditioning regimens for those who are obese.³¹ While a consensus has not been reached regarding the effects of obesity on HCT outcomes, many studies highlight its negative effects. One report in both children and adults receiving HCT demonstrated higher rates of non-relapse related mortality in obese patients, likely due to acute and chronic GVHD, although with no difference in overall survival.³² A meta-analysis found that a high pre-transplant BMI was associated with an increased risk for acute GVHD along with worsened survival.³³ In the pediatric literature, two studies revealed that high BMI pre-transplantation led to lower overall survival and higher mortality.^{16,34} While our post-transplant outcomes analysis regarding GVHD, infection, platelet and neutrophil engraftment, and overall survival were not statistically significant among weight categories, our results do show trends towards higher rates of severe acute GVHD and lower survival for overweight and obese patients. Thus, further research is warranted to investigate the impacts of obesity on HCT outcomes.

A notable trend in our analysis of BMI is that those who did not receive TBI had a higher BMI increase from baseline, such that TBI demonstrated a protective effect in weight gain. TBI may induce hypothalamic pituitary lesions that disrupt the regulatory secretion of hormones that contribute to height and nutritional status.³⁵ Further, it has been demonstrated that TBI may decrease GH secretion, interrupt leptin regulation, and result in a persistently low BMI and blunted adult height.^{36,37} We believe that the trends in our weight analysis may be the result of similar hypothalamic disruptions, where TBI may hinder growth in both height and weight that precludes a BMI increase.

Regardless of the data on HCT outcomes, it is well established that obesity is associated with a variety of comorbidities. Chronic adaptations in cardiac structure and function in response to excess adipose tissue accumulation increases risk of cardiovascular disease, which can manifest as heart failure, coronary heart disease, and sudden cardiac death.^{38,39} Given that many HCT patients receive doxorubicin, an anthracycline with severe cardiotoxic side effects,⁴⁰ it is especially critical to optimize body composition in this vulnerable population. A proactive approach by maintaining a healthy BMI before treatment, and well after, may mitigate the risk of adverse cardiovascular events later in life.

The idea that there is a close relationship among nutrition, HCT, and oncology is not novel. Since the observation of the Warburg effect,⁴¹ the literature has expanded with attempts to better understand the relationship between nutrition and tumorigenesis. There currently exists several proposed dietary regimens, including the ketogenic diet,⁴² intermittent fasting,⁴³ and caloric restriction⁴⁴ as emerging approaches in

cancer treatment. It is believed that an avoidance of the Western diet,⁴⁵ and an adaptation of the Japanese diet,⁴⁶ vegan diet,⁴⁷ or Mediterranean diet⁴⁸ may decrease risk of developing a wide variety of malignancies. Due to the possible influences of nutrition on tumorigenesis and transplant outcomes, optimization of body composition throughout the process of HCT is essential.⁴⁹

This is a comprehensive analysis of the HCT data from the pediatric population at our institution. Nonetheless, our study, which is retrospective in nature, is limited by the availability of patient data. Thus, we were only able to include patients who received HCT from 2005 to 2018 since those who were transplanted prior to 2005 had incomplete clinical data that were insufficient to include in our study. Further, there may be potential confounders in the patient characteristics affecting post-transplant outcomes; however, these do not affect our overall observation of increased BMI after HCT. Another limitation is our reliance on BMI to assign patients into the appropriate weight categories. There is evidence to suggest that BMI may not adequately represent body fat percentage and those who have central obesity.¹⁸ Unfortunately, data on body fat percentage is not available in our cohort, but may be a variable to be included in future studies.

Conclusion

An increased prevalence of overweight and obesity was observed in our pediatric post-transplant population, with older children at highest risk. In addition, patients without total body irradiation as part of the conditioning regimen experienced more weight gain than patients who received total body irradiation. Due to the well-documented negative effects of excess adiposity on health, it is crucial to optimize body composition during the pre-treatment, treatment, and post-treatment periods to mitigate the risk of catastrophic adverse events later in life. Additional studies characterizing the interaction between body composition and hematopoietic cell transplant outcomes are warranted. Greater insight into this field will undoubtedly provide guidance on the identification of at-risk populations and the development of interventions to treat and prevent obesity.

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Competing Interests:

All authors have no competing financial interests including products, devices, or drugs associated with this manuscript.

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Figure Legends

FIGURE 1 BMI trends over time stratified by age

Plot of the BMI changes relative to baseline (pre-transplant), starting from day 100 to 5 years post-transplant. Day 100 BMI was not significantly different from baseline BMI for any age. All ages demonstrated significant increases in BMI over time from day 100 to 5 years post-transplant (all $p < 0.001$).

FIGURE 2 Survival estimates

Kaplan-Meier plot of overall survival probability in HCT patients.

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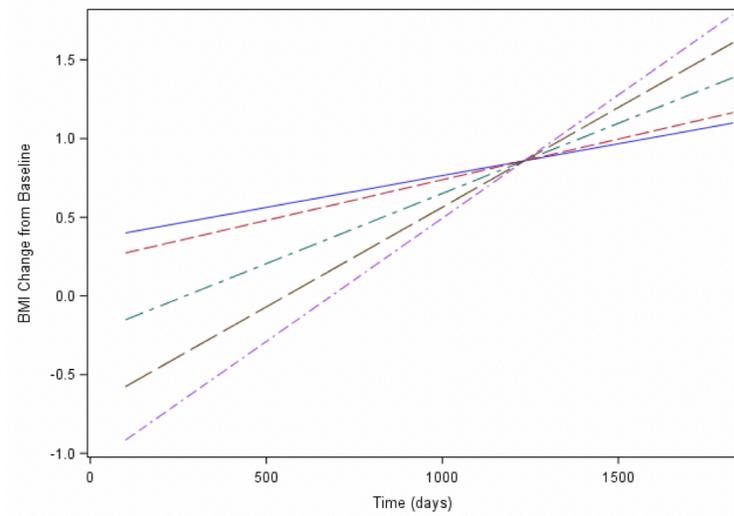
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	Estimate	SE	p value
BMI change at +100 days			
6 months	0.401	0.470	0.394
2 years	0.273	0.461	0.553
7 years	-0.152	0.461	0.742
12 years	-0.577	0.509	0.257
16 years	-0.917	0.574	0.110
BMI change per 100 days*			
6 months	0.004	0.001	<0.001
2 years	0.015	0.004	<0.001
7 years	0.052	0.014	<0.001
12 years	0.090	0.024	<0.001
16 years	0.120	0.032	<0.001

