H influenzae & M catarrhalis in sputum of severe asthma with inflammasome and neutrophil activation

Kian Fan Chung1, Ali Versi1, Fransiskus Xaverius Ivan2, Mahmoud Ibrahim3, Stewart Bates4, John Riley4, Frederic Baribaud5, Nazanin Zounemat Kermani1, Paolo Montuschi6, Sven-Erik Dahlén7, Ratko Djukanovic8, Peter Sterk3, Anke-Hilse Maitland-van der Zee3, Sanjay Haresh Chotirmall2, Peter Howarth9, and Ian Adcock1

1Imperial College London National Heart and Lung Institute
2Lee Kong Chian School of Medicine
3Amsterdam University Medical Centers
4GSK
5Janssen Research and Development High Wycombe
6Catholic University of the Sacred Heart
7Karolinska Institutet
8NIHR Southampton Biomedical Research Centre
9University of Southampton Faculty of Medicine

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Abstract

Background. Because of altered airway microbiome in asthma, we analysed the bacterial species in sputum of patients with severe asthma. Methods. Whole genome sequencing was performed on induced sputum from non-smoking (SAn) and current or ex-smoker (SAs/ex) severe asthma patients, mild/moderate asthma (MMA) and healthy controls (HC). Data was analysed by asthma severity, inflammatory status and transcriptome-associated clusters (TACs). Results. α-diversity at the species level was lower in SAn and SAs/ex, with an increase in Haemophilus influenzae and Moraxella catarrhalis, and Haemophilus influenzae and Tropheryma whipplei, respectively, compared to HC. In neutrophilic asthma, there was greater abundance of Haemophilus influenzae and Moraxella catarrhalis and in eosinophilic asthma, Tropheryma whipplei increased. There was a reduction in α-diversity in TAC1 and TAC2 that expressed high levels of Haemophilus influenzae and Tropheryma whipplei, and Haemophilus influenzae and Moraxella catarrhalis, respectively, compared to HC. Sputum neutrophils correlated positively with Moraxella catarrhalis and negatively with Prevotella, Neisseria and Veillonella species and Haemophilus parainfluenzae. Sputum eosinophils correlated positively with Tropheryma whipplei which correlated with pack-years of smoking. α- and β-diversities were stable at one year. Conclusions. Haemophilus influenzae and Moraxella catarrhalis were more abundant in severe neutrophilic asthma and TAC2 linked to inflammasome and neutrophil activation, while Haemophilus influenzae and Tropheryma whipplei were highest in SAs/ex and in TAC1 associated with highest expression of IL-13 Type 2 and ILC2 signatures with the abundance of Tropheryma whipplei correlating positively with sputum eosinophils. Whether these bacterial species drive the inflammatory response in asthma needs evaluation.

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Ali Versi1*, Fransiskus Xaverius Ivan2*, Mahmoud I Abdel-Aziz3, Stewart Bates4, John Riley4, Frederic Baribaud5, Nazanin Zounemat Kermani1, Paolo Montuschi6, Sven-Erik Dahlén7, Ratko Djukanovic8, Peter
Sterk³, Anke-Hilse Maitland-Van Der Zee³, Sanjay H Chotirmall²,⁹, Peter Howarth⁸, Ian M Adcock¹, Kian Fan Chung¹, on behalf of U-BIOPRED consortium.

¹National Heart & Lung Institute & Data Science Institute, Imperial College London, United Kingdom;²Lee Kong Chian School of Medicine, Nanyang Technological University, Singapore, Singapore;³Amsterdam University Medical Centers, Department of Respiratory Medicine, University of Amsterdam, Amsterdam, Netherlands;⁴Respiratory Therapeutic Unit, GSK, Stockley Park, UK;⁵Janssen Research and Development, High Wycombe, Buckinghamshire, United Kingdom;⁶Department of Pharmacology, Catholic University of the Sacred Heart, Rome, Italy;⁷Centre for Allergy Research, Karolinska Institute, Stockholm, Sweden;⁸Faculty of Medicine, Southampton University, Southampton, UK and NIHR Southampton Respiratory Biomedical Research Unit, University Hospital Southampton, Southampton, UK;⁹Department of Respiratory and Critical Care Medicine, Tan Tock Seng Hospital, Singapore.

*Equal contribution

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Address for correspondence:
Professor K F Chung, National Heart & Lung Institute, Imperial College London, Dovehouse St, London SW3 6LY UK
f.chung@imperial.ac.uk

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Methods. Whole genome sequencing was performed on induced sputum from non-smoking (SAn) and current or ex-smoker (SAs/ex) severe asthma patients, mild/moderate asthma (MMA) and healthy controls (HC). Data was analysed by asthma severity, inflammatory status and transcriptome-associated clusters (TACs).

Results. α-diversity at the species level was lower in SAn and SAs/ex, with an increase in *Haemophilus influenzae* and *Moraxella catarrhalis*, and *Haemophilus influenzae* and *Tropheryma whipplei*, respectively, compared to HC. In neutrophilic asthma, there was greater abundance of *Haemophilus influenzae* and *Moraxella catarrhalis* and in eosinophilic asthma, *Tropheryma whipplei* was increased. There was a reduction in α-diversity in TAC1 and TAC2 that expressed high levels of *Haemophilus influenzae* and *Tropheryma whipplei*, and *Haemophilus influenzae* and *Moraxella catarrhalis*, respectively, compared to HC. Sputum neutrophils correlated positively with *Moraxella catarrhalis* and negatively with *Prevotella*, *Neisseria* and *Veillonella* species and *Haemophilus parainfluenzae*. Sputum eosinophils correlated positively with *Tropheryma whipplei* which correlated with pack-years of smoking. α- and β-diversities were stable at one year.

Conclusions. *Haemophilus influenzae* and *Moraxella catarrhalis* were more abundant in severe neutrophilic asthma and TAC2 linked to inflammasome and neutrophil activation, while *Haemophilus influenzae* and *Tropheryma whipplei* were highest in SAs/ex and in TAC1 associated with highest expression of IL-13 Type 2 and ILC2 signatures with the abundance of *Tropheryma whipplei* correlating positively with sputum eosinophils. Whether these bacterial species drive the inflammatory response in asthma needs evaluation.

Key words

Severe asthma, α-diversity, *Haemophilus influenzae*, *Moraxella catarrhalis*, *Tropheryma whipplei*.

Introduction
Severe asthma is a heterogeneous condition that can be differentiated into clinical, and molecular inflammatory phenotypes (1). The severe eosinophilic asthma cluster is the most established inflammatory phenotype linked to Type 2 inflammation driven by cytokines such as IL-4, IL-5 and IL-13 (2). On the other hand, the neutrophilic inflammatory phenotype characterized by sputum neutrophilia is associated with Type 1 inflammatory pathways and inflammasome activation (3). While there has been a link between inflammasome activation and neutrophil airway recruitment in asthma (4), the mechanism driving neutrophil recruitment and activation remains unclear. Because of the ability of airway microbial organisms to induce inflammatory responses, the complex lung microbiome may contribute to clinical and inflammatory phenotypes of asthma (5, 6).

Detailed analysis of the microbial community composition in asthma has been made possible by using culture-independent techniques such as 16S ribosomal RNA microarray and PCR. Proteobacteria families are enriched in airway microbiota of asthma patients (7) and have been associated with airway hyperresponsiveness and worsening asthma control (8). Streptococcus pneumoniae, Moraxella catarrhalis (9, 10), and Haemophilus influenzae (7, 9, 11) have been reported in patients with stable asthma. Neutrophilic asthma has been associated with enriched Proteobacteria (12), including Moraxella and Haemophilus (13), and in particular Haemophilus influenzae (12). Reduced sputum bacterial diversity has been reported in neutrophilic asthma as compared with other asthma phenotypes (12, 13).

For the first time, we have undertaken a metagenomic whole genome sequencing approach of induced sputum from patients with asthma that has the added advantage of providing an in-depth characterization and insights into the microbiome down to the species level in order to understand the relationship between airway dysbiosis and airway inflammation. We have examined the effect of asthma severity and granulocytic inflammation on the abundance of microbial species. We also examined the relationship of the molecular phenotype of asthma to the bacterial species abundance that provides the potential links between these species and the host immunological and inflammatory responses (14).

Methods

Participants

The U-BIOPRED severe asthma cohort consisted of two groups of adult severe asthmatics: non-smokers (SAn), and smokers or ex-smokers (SAs/ex) together with non-smoking mild-moderate asthma (MMA) and non-smoking healthy volunteers (HC), as previously described (15). Participants provided induced sputum samples that passed quality control for metagenomic analysis (16), at baseline and at 12-16 months later. Ethics approval was obtained at the 14 participating centres and all participants gave written informed consent (ClinicalTrials.gov identifier: NCT01982162).

Induction and initial sample handling

Sputum induction was undertaken and processed as previously described (15). Frozen sputum samples were sent to Second Genome (San Francisco, California, USA) for metagenomic sequencing. Genomic DNA was extracted using the MoBio Tissue and Cells DNA Isolation Kit (Qiagen, Germantown, USA) at 250μl input volume, performed in a BioSafety Cabinet in an ISO8 Cleanroom. Each batch included negative and positive controls, as described previously (17). Extracted DNA was stored at -20°C. Samples were prepared for sequencing with the Illumina Nextera kit (Illumina, San Diego, USA) and quantified with Quant-iT dsDNA High Sensitivity assays (ThermoFisher Scientific, Waltham, USA). Libraries were pooled and run with 100 base-pairs paired-end sequencing protocols on the Illumina HiSeq 2500 platform.

Quality control of metagenomic data and host reads removal

FastQC version 0.11.8 (17) was used to check the quality of the original sequencing reads. Then, bowtie2 version 2.3.5.1 (with its default parameters)(18) was used to align the quality-filtered reads to the human reference genome (hg38)[https://www.ncbi.nlm.nih.gov/assembly/GCF_000001405.26/]). Unmapped, non-host reads were separated from host reads and sorted using samtools version 1.9 (19). The output files, which were in BAM format, were converted into fastq files using bedtools version 2.28 (20) and then into
fasta files using R/Bioconductor package ShortRead version 3.6.2 (21). Non-host metagenomic reads of each sputum sample were subjected to bioinformatics pipelines that generate microbiome and functional profiles. We used MetaPhlAn2 version 2.7.7 and its marker database (22) to estimate microbiome profiles (viruses were excluded from the output).

Statistical and computational analyses

Microbial pathways were analysed with reference to the UniPathway database (23). The R languages’ vegan package was used for calculating Shannon’s index within sample diversity, α-diversity (24), and Bray-Curtis dissimilarity between microbiome and microbial pathway sample pairs. Similarity between pairs of profiles were calculated as 1-d, where d is the associated Bray-Curtis dissimilarity. These dissimilarity matrices were used to evaluate sample-to-sample diversity, β-diversity, between cohorts and timepoints using the permutational multivariate analysis of variance (PERMANOVA) implemented in vegan’s ADONIS function, as well as to visualize the relationship between sample groups using the principal coordinate analysis implemented in R’s phyloseq package (25). When PERMANOVA analysis gave a significant result, the R’s DESeq2 package (26) with test and fitType parameters set to “Wald” and “parametric”, and with pseudocount of 1 addition to phylum-/genus-/species-level MetaPhlAn2 RPKM data, were used to identify differentially-abundant microbiome taxa and microbial pathways. The p-values following Wald test were corrected for multiple testing using the Benjamini-Hochberg method.

Kruskal-Wallis test was employed to assess differences between groups at the taxonomic pathway level. When a significant difference was obtained, the Mann-Whitney U test with a Holm-Bonferroni correction was used for post-hoc comparisons. Wilcoxon Signed-Rank test was used to test differences in alpha-diversity over time using paired baseline and longitudinal follow-up. Spearman correlations were used to determine the relationship between microbiome abundance and clinical traits and inflammatory biomarkers. All statistical tests performed were two-tailed.

Results

Table S1 summarises the clinical and physiological characteristics and Tables S2 and S3 the asthma participants according to granulocytic inflammation status and to molecular phenotype, respectively. Using MetaPhlAn2, out of 193 sputum samples, 189 were available for species-level analysis. Although 46 sputum samples were analysed at follow-up, there were paired samples for baseline and longitudinal analyses in only 33 subjects. We also analysed the results in terms of the granulocytic inflammation defined by the level of sputum eosinophils and neutrophils (16) (Table S2 ) and of the molecular phenotypes defined as transcriptomic-associated clusters (TACs) (Table S3 ) (14). The molecular pathways characterising the 3 TACs is shown in Table S4 .

Metagenomic sequencing

The depth of metagenomic sequencing of the 190 sputum samples before host reads removal is shown in Figure S1A . Excluding one sample with a very low depth of 11,204 reads (left-most), the sequencing depth ranged from 9.1 million to 63.6 million reads, with a median and an interquartile range of 33.3 million and 17.2 million reads, respectively. Following host reads removal, the range of the depth of non-host sequencing reads had median of ~1.5 million reads. Airway microbiome data, excluding 5 samples whose reads were not assigned to any phylum by MetaPhlAn2, were assessed at phylum-level (Figure S1B ). The sequencing depth did not influence airway microbiome profile (Figure S1C ). Four bacterial phyla, Bacteroidetes, Firmicutes, Proteobacteria and Actinobacteria, accounted for >95% of the overall abundance (Figure S1D ).

α- and β-diversity

Difference in airway microbiome was observed across cohorts at species level in both α-diversity (p = 0.001, Figure 1B ) and β-diversity (p <0.002, Figure 1C ). Although the levels of α-diversity in SAn and SAs/ex were comparable, SAs/ex exhibited lower α-diversity compared to MMA and HC. α-diversity of SAn was lower than that of MMA while there was no difference between MMA and HC (Figure 1B ). α-diversity was inversely correlated with sputum neutrophils in SAn, neutrophilic and paucigranulocytic inflammation
groups, and in TAC1 and TAC3 (Figure S3). There was no positive association between α-diversity and exacerbations in the previous year or pack-years of smoking, but there was a positive correlation between α-diversity and FEV1 (% predicted) in neutrophilic inflammation and in TAC2 (Figure S3).

Compared to HC, α-diversity was reduced in eosinophilic (p<0.05), neutrophilic (p<0.05) and mixed granulocytic (p<0.001) (Figure 2B). Differences in β-diversity based on Bray-Curtis dissimilarity were observed across the inflammatory groups using PERMANOVA (p<0.001) and ordination using principal coordinate analysis (Figure 2C).

α-diversity in TAC1 and TAC2 was lower than in TAC3 and HC (p<0.001) (Figure 3B). Differences in β-diversity based on Bray-Curtis dissimilarity were also observed across the TACs (p<0.001) (Figure 3C).

Differentially-abundant genera and species by asthma grouping

Prevotella, Veillonella, Haemophilus, Neisseria, Streptococcus, Porphyromonas, Rothia, Alloprevotella, and Tropheryma were the major genera present in healthy subjects (Figure S2A). Moraxella and Tropheryma abundance was higher in SAn and SAs/ex compared to both MMA and HC, respectively (Figure S2C).

The most abundant microbes included Haemophilus influenzae and Tropheryma whipplei (Figure 1A). The species abundance in SAn compared to MMA and HC was enhanced with Haemophilus influenzae and Moraxella catarrhalis, while in SAs/ex, Haemophilus influenzae, Tropheryma whipplei, and Veillonella atypica were enriched compared to both MMA and HC (Figure 1D). In an analysis of differences between current and ex-smokers within the SAs/ex cohort, current smokers had a lower abundance of Haemophilus parainfluenzae and Neisseria flavescence compared to ex-smokers (Figure S4).

We analysed the effect of being on daily oral corticosteroid (OCS) therapy. While there was no difference in both α- and β-diversity between those on OCS and not on OCS in SAn and SAs/ex (Figure S5), those on OCS in SAn had lower Haemophilus influenzae, Moraxella catarrhalis, Porphyromonas gingivalis and Porphyromonas endodontalis, and in SAs/ex, lower Haemophilus parainfluenzae (Figure S5).

Species abundance & clinical and inflammatory features

We examined the abundance of the top 16 species with clinical and inflammatory features in the whole group of asthmatics and also in the 3 subgroups of SAn, SAs/ex and MMA (Figure 4).

Airflow obstruction. Species including those of Veillonella and Prevotella, Rothia mucilaginosa and Haemophilus parainfluenzae were positively correlated with FEV1 as an index of airflow obstruction. There was a positive correlation between Prevotella intermedia, Prevotella melaninogenica, Veillonella unclassified and Veillonella atypica in SAn, between Veillonella atypica and Prevotella melaninogenica in SAs/ex, and between Prevotella sp C561 in MMA with FEV1 (% predicted) (Figure 4). In SAs/ex, there was a negative correlation of FEV1 (% predicted) and Haemophilus influenzae, Neisseria sicca and Tropheryma whipplei.

Exacerbations. Exacerbations in the previous year was negatively correlated with Prevotella melaninogenica and Prevotella intermedia in the whole group. This negative correlation was found with Prevotella melaninogenica only in SAn (Figure 4). In SAs/ex, there was a negative correlation with Neisseria sicca and a positive correlation with Rothia mucilaginosa.

Sputum neutrophils. In the whole group, there was a strong negative correlation of sputum neutrophils with Prevotella intermedia, Prevotella melaninogenica, Prevotella nigrescens, Alloprevotella unclassified, Haemophilus parainfluenzae, Neisseria flavescence, Neisseria sicca, Streptococcus pseudopneumoniae, Porphyromonas endodontalis, Rothia mucilaginosa, Veillonella unclassified and Veillonella atypica but a positive correlation with Moraxella catarrhalis. This was similar in SAn apart from the lack of correlation with Alloprevotella unclassified. In SAs/ex, there was negative correlation between Prevotella nigrescens and Alloprevotella unclassified, but a positive correlation between Moraxella catarrhalis and Neisseria sicca with sputum neutrophils. In MMA, a negative correlation was seen with Prevotella sp C561, Prevotella
nigrescens, Streptococcus pseudopneumoniae, Neisseria flavescens, Neisseria sicca, Rothia mucilaginosa, Haemophilus parainfluenzae and Haemophilus influenzae with sputum neutrophils.

Sputum eosinophils. There was a negative correlation between Moraxella catarrhalis, Prevotella sp C561, Prevotella nigrescens and sputum eosinophils, but a positive correlation between Tropheryma whipplei and sputum eosinophils. In SAs/ex, sputum eosinophils were negatively correlated with Rothia mucilaginosa, but positively with Tropheryma whipplei, while in SAn, with Streptococcus pseudopneumoniae and Alloprevotella unclassified (Figure 4).

Species abundance according to granulocytic inflammation

The neutrophilic group had more Haemophilus influenzae and Moraxella catarrhalis than the eosinophilic and paucigranulocytic groups, and more Moraxella catarrhalis compared to the mixed granulocytic group. Interestingly, the eosinophilic group showed more abundant Tropheryma whipplei compared to the paucigranulocytic group and HC while it had more abundant Tropheryma whipplei, Haemophilus parainfluenzae, and other species including Prevotella pallens, Prevotella melaninogenica, Porphyromonas sp oral taxon 279 and Veillonella unclassified compared to the mixed granulocytic group (Figure 2C).

Species abundance according to TAC molecular phenotypes

As previously described (14), TAC1 is characterised by the expression of immune receptors IL-33R, CCR3R and TSLPR, TAC2 by TNF- & IFN-associated genes, and TAC3 by expression of high glucose and succinate metabolism genes (Table S4). There was an upregulation of Tropheryma whipplei, Prevotella melaninogenica, Porphyromonas sp oral taxon 279, Prevotella histicola, Neisserie unclassified, Veillonella unclassified and Veillonella atypica but downregulation of Moraxella catarrhalis in TAC1 compared to TAC2, of Haemophilus influenzae and Tropheryma whipplei in TAC1 compared to TAC3, and in Haemophilus influenzae and Moraxella catarrhalis in TAC2 compared to TAC3 (Figure 3A, D). Of note Moraxella catarrhalis was not found in the TAC1 group. By comparison to healthy controls, TAC1 which has the highest expression score for an IL-13 Th2 and an ILC-2 signatures had an excess of Haemophilus influenzae and Tropheryma whipplei while TAC2 which had the highest expression scores for inflammasome and neutrophil activation an excess of Haemophilus influenzae and Moraxella catarrhalis.

Longitudinal stability of microbiome according to asthma severity

The severe asthmatics who came for follow-up visit at one year are shown in Table S1. The dominance of Haemophilus influenzae or Tropheryma whipplei persisted in the severe asthma subjects: 3 out of 4 subjects with Haemophilus influenzae dominance at baseline and 2 out of 3 subjects with Tropheryma whipplei dominance at baseline maintained this prevalence at longitudinal follow-up (Figure 5). The same trend was observed at the genus-level (Figure S6A).

There was no shift in α-diversity (Figure 5B) or β-diversity (Figure 5C) of airway microbiome in severe asthma cases analysed at the species or genus level. Similar results were seen at the genus level in α-diversity (Figure S6B) or β-diversity (Figure S6C). Moreover, Mann-Whitney U test with Holm correction between paired and non-paired groups showed that genus- and species-level (Figures 5D & S6D) microbiome compositional similarity between paired samples that were from the same subject was significantly higher compared to that from other possible sample pairings.

Discussion

The novelty of our analysis is being able to pin down to the microbial species level differences at the severity, inflammatory and molecular phenotype level of severe asthma using sputum metagenomic sequencing of a large cohort of patients. We focused on 3 pathogenic species, namely Haemophilus influenzae, Moraxella catarrhalis and Tropheryma whipplei because of their potential pathogenicity in respiratory diseases. We found reduced bacterial α-diversity of microbial species in the 2 severe asthma groups where there was higher abundance of Haemophilus influenzae and Moraxella catarrhalis in SAn compared to SAs/ex, MMA and HC, and of Haemophilus influenzae and Tropheryma whipplei in SAs/ex compared to MMA. In terms of
inflammatory status, z-diversity was lowest in the mixed granulocytic group followed by a slight reduction in the neutrophilic group, with neutrophilic inflammation associated with higher abundance of *Haemophilus influenzae* and *Moraxella catarrhalis*, while eosinophilic inflammation was associated with high abundance of *Tropheryma whipplei*. In terms of associated molecular pathways, *Haemophilus influenzae* was most abundant in TAC1 and TAC2, accompanied by an increase in *Tropheryma whipplei* in TAC1 and in *Moraxella catarrhalis* in TAC2. The abundance of *Moraxella catarrhalis* in TAC1 and TAC3 was very low. The increased abundance of *Haemophilus influenzae* in both TAC1, an eosinophilic phenotype, and in TAC2, a neutrophilic phenotype, but not in TAC3, a paucigranulocytic phenotype, is of interest. One possibility is that this increased abundance may be due to the reduced phagocytosis of *Haemophilus influenzae* by lung macrophages from patients with severe asthma (27). *Haemophilus influenzae* can induce the release of IL1α, IL1β, IL-6, IL-8, MCP-1 and TNFα from human tracheal epithelial cells (28), through the toll-like receptor, TLR2, activation (29), that could lead to neutrophil activation and inflammation.

In both SAn and SAs/ex, the species most positively correlated with sputum neutrophils was *Moraxella catarrhalis* while in MMA, *Haemophilus influenzae* was inversely correlated. *Moraxella catarrhalis* is a known airway pathogen that causes respiratory infections linked to neutrophilic airway inflammation in severe or poorly-controlled asthma (9, 12). *Moraxella catarrhalis* was also most abundant in the TAC2 molecular phenotype characterized by neutrophilic and inflammasome activation, supporting the possibility that it may be associated with or be responsible for the induction of neutrophilic inflammation in severe asthma. This link between *Moraxella catarrhalis* and neutrophilic inflammation is further strengthened by the highest abundance of *Moraxella catarrhalis* in TAC2 where it was positively correlated with sputum neutrophilia. This potential activating role of *Moraxella catarrhalis* is supported by its activation of TLR 2, 4 and 9 (30), induction of IL-6, IL-8 and prostaglandin E2 release through NF-κB and activation in lung epithelial cells (31, 32).

Although *Tropheryma whipplei* is known to cause Whipple’s disease with gastrointestinal symptoms, it has also been implicated as a cause of aspiration, ventilator-associated and community-acquired pneumonia (33). *Tropheryma whipplei* in bronchoalveolar lavage fluid has been isolated in asymptomatic immunosuppressed patients suffering from Human Immunodeficiency virus, which was reduced by anti-retroviral therapy (34). The increased abundance of *Tropheryma whipplei* in SAs/ex was positively associated with sputum eosinophilia and also with pack years of smoking in the TAC1 and TAC2 phenotypes. A previous study has reported the presence of *Tropheryma whipplei* by PCR in sputum samples of severe asthma patients who were mainly eosinophilic (12). We found that *Tropheryma whipplei* was most prominent in severe asthmatics who were either current smokers or ex-smokers. These differences between NSA and SAs/ex severe asthma groups in terms of the sputum metagenome is demonstrated here for the first time. Previous studies using 16S ribosomal RNA microarray comparing the lung microbiome of smokers with non-smokers have reported either no differences (35, 36), or a higher abundance of *Veillonella, Neisseria* and *Rothia*, of which we know little in terms of their potential lung pathogenicity. SAn and SAS/ex were different from MMA with a decreased abundance of *Prevotella intermedia* and *Rothia mucilaginosa* consistent with the previous report of decreased abundance of the genus *Prevotella* in asthma compared to non-asthmatic individuals (7, 38). Most of these species were negatively correlated with sputum neutrophilia. One bacterial species, *Haemophilus parainfluenzae*, was reduced in abundance in SAn and in TAC1 and TAC2, with negative correlation with sputum neutrophilia in SAn and MMA, and in TAC1 and TAC3. Our data indicate that *Haemophilus parainfluenzae* is decreased in current smokers compared to ex-smokers; however, since this same species is reduced also in the smoking/ex-smoking group on OCS compared to those not on OCS, it may be related to OCS therapy. *Haemophilus parainfluenzae* has been reported (39) to increase IL-8 expression with activation of p38 MAPK and inhibit corticosteroid responses in alveolar macrophages from corticosteroid-resistant asthma patients, thus may be involved in induction of
corticosteroid resistance.

Overall, the microbiome was stable when repeated at one year in severe asthma with no shift in \( \alpha \) or \( \beta \)-diversity. In an unbiased microbiome-driven clustering to identify severe asthma phenotypes using the same microbial data, we found 2 distinct robust phenotypes that exhibited relative overtime stability (40). This stability does not exclude the possibility of changes in the microbiome during acute exacerbations of asthma as occurs in children where an increase in gram-negative microbes have been detected in induced sputum (41). Out of these 2 phenotypes (40), one had worse asthma outcomes, more sputum neutrophilia and greater enrichment of the gammaproteobacteria, *Haemophilus influenzae* e and *Moraxella catarrhalis*. Further analysis of this phenotype has led to its association with differentially-expressed genes, in particular TNF\( \alpha \) and related regulatory genes, the IL-1 family of interleukins, Toll-like receptors and inflammasomes (42).

We highlight some technical issues in our analysis. First, the sequencing technology of using paired-end 100 base-pairs at high sampling depth provides less accurate taxonomy profiling compared to reads of 150 base-pairs or longer at lower depth. However, we have used MetaPhlAn2 because it provides a more sensible taxonomic composition for respiratory microbiome experts compared to a protein-based method such as Kaiju (43). Secondly, the use of a marker-based approach may lead to exclusion of samples for downstream analysis due to their lack of marker genes. This is likely due to sputum having high amounts of host DNA, contributed by neutrophils and neutrophil extracellular traps resulting in low level of microbial reads, with lack of marker genes for estimating taxonomic composition, thus excluding some samples in the downstream analysis. Finally, for differential analysis, DESeq2 was used because it took into account the compositional nature of the metagenomic data, with the addition of pseudocount to overcome lack of taxa shared by all samples.

In conclusion, this sputum metagenomic study of severe asthma patients during a stable state has revealed an important association of reduced bacterial \( \alpha \)-diversity at the species level to neutrophilic airway inflammation and neutrophil and inflammasome activation where *Haemophilus influenzae* and *Moraxella catarrhalis* were in greater abundance. On the other hand, in eosinophilic inflammation, both *Haemophilus influenzae* and *Tropheryma whipplei* were most abundant with no *Moraxella catarrhalis* found. *Tropheryma whipplei* was mostly linked to the smoking/ex-smoking severe asthma and to eosinophilic inflammation. These bacterial species may play an important role in influencing the severity and inflammatory phenotype of severe asthma, particularly linked to neutrophilic inflammation, and further studies are needed to confirm the importance of these bacteria.

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U-BIOPRED Supplementary authors

<table>
<thead>
<tr>
<th>Name</th>
<th>Affiliation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Abdel-Aziz MI</td>
<td>Department of Respiratory Medicine, Amsterdam UMC, University of Amsterdam, Amsterdam, The Netherlands</td>
</tr>
<tr>
<td>Adcock I M</td>
<td>National Heart and Lung Institute, Imperial College, London, UK; Department of Respiratory Medicine, Karolinska University Hospital, Stockholm, Sweden</td>
</tr>
<tr>
<td>Andersson LI</td>
<td></td>
</tr>
</tbody>
</table>
Auffray C  European Institute for Systems Biology and Medicine, CNRS-ENS-UCBL-INSERM, Lyon, France;
Badi YE,  National Heart and Lung Institute, Imperial College, London, UK;
Bakke P  Department of Clinical Science, University of Bergen, Bergen, Norway;
Bansal A T  Acclarogen Ltd, St. John’s Innovation Centre, Cambridge, UK;
Baribaud F  Janssen R&D, LLC, Spring House, PA, USA
Bates S  Respiratory Therapeutic Unit, GSK, London, UK;
Bel E H  Academic Medical Centre, University of Amsterdam, Amsterdam, The Netherlands;
Bigler J  Previously Amgen Inc
Billing B  Department of Respiratory Medicine, Karolinska University Hospital, Stockholm, Sweden
Bisgaard H  COPSAC, Copenhagen Prospective Studies on Asthma in Childhood, Herlev and Gentofte Hospital, University of Copenhagen, Copenhagen, Denmark
Boedigheimer M J  Amgen Inc.; Thousand Oaks, USA
Bønnelykke K  COPSAC, Copenhagen Prospective Studies on Asthma in Childhood, Herlev and Gentofte Hospital, University of Copenhagen, Copenhagen, Denmark;
Brandsma J  University of Southampton, Southampton, UK
Brinkman P  Academic Medical Centre, University of Amsterdam, Amsterdam, The Netherlands;
Bucchioni E  Chiesi Pharmaceuticals SPA, Parma, Italy
Burg D  Centre for Proteomic Research, Institute for Life Sciences, University of Southampton, Southampton, UK
Bush A  National Heart and Lung Institute, Imperial College, London, UK; Royal Brompton and Harefield NHS trust, UK
Caruso M  Dept. Clinical and Experimental Medicine, University of Catania, Catania, Italy;
Chalekis R  Institute of Environmental Medicine, Centre for Allergy Research, Karolinska Institutet, Stockholm, Sweden
Chanez P  Assistance publique des Hôpitaux de Marseille - Clinique des bronches, allergies et sommeil, Aix Marseille Université, Marseille, France
Chung F K  National Heart and Lung Institute, Imperial College, London, UK;
Checa T  Institute of Environmental Medicine, Centre for Allergy Research, Karolinska Institutet, Stockholm, Sweden
Compton C H  Respiratory Therapeutic Unit, GSK, London, UK
Corfield J  Areteva R&D, Nottingham, UK;
Cunoosamy D  Sanofi, Cambridge, USA
Hedlin G
Dept. Women’s and Children’s Health & Centre for Allergy Research, Karolinska Institutet, Stockholm, Sweden;

Hekking P W
Academic Medical Centre, University of Amsterdam, Amsterdam, The Netherlands;

Higenbottam T
Allergy Therapeutics, West Sussex, UK;

Hohlfeld J M
Fraunhofer Institute for Toxicology and Experimental Medicine, Hannover, Germany

Holweg C
Respiratory and Allergy Diseases, Genentech, San Francisco, USA

Horváth I
Semmelweis University, Budapest, Hungary

Howarth P
NIHR Southampton Respiratory Biomedical Research Unit, Clinical and Experimental Sciences and Human Development and Health, Southampton, UK

James A J
Institute of Environmental Medicine, Centre for Allergy Research, Karolinska Institutet, Stockholm, Sweden

Knowles RG
Knowles Consulting Ltd, Stevenage, UK;

Kolmert J
Institute of Environmental Medicine, Centre for Allergy Research, Karolinska Institutet, Stockholm, Sweden

Konradsen J
Dept. Women’s and Children’s Health & Centre for Allergy Research, Karolinska Institutet, Stockholm, Sweden

Krug N
Fraunhofer Institute for Toxicology and Experimental Medicine, Hannover, Germany;

Lazarinis N
Department of Respiratory Medicine, Karolinska University Hospital & Centre for Allergy Research, Karolinska Institutet, Stockholm, Sweden

Li C-X
Department of Medicine Solna, Karolinska Institutet, Stockholm, Sweden

Loza M J
Janssen R&D, LLC, Spring House, PA, USA

Lutter R
Academic Medical Centre, University of Amsterdam, Amsterdam, The Netherlands;

Manta A
Roche Diagnostics GmbH, Mannheim, Germany

Masefield S
European Lung Foundation, Sheffield, UK;

Maitland-van der Zee Anke-Hilse
Department of Respiratory Medicine, Amsterdam UMC, University of Amsterdam, Amsterdam, The Netherlands;

Matthews J G
Respiratory and Allergy Diseases, Genentech, San Francisco, USA;

Mazein A
European Institute for Systems Biology and Medicine, CNRS-ENS-UCBL-INSERM, Lyon, France

Middelveld R J M
Centre for Allergy Research, Karolinska Institutet, Stockholm, Sweden

Miralpeix M
Almirall, Barcelona, Spain;

Montuschi P
Università Cattolica del Sacro Cuore, Milan, Italy;
Sparreman-Mikus M
Department of Medicine Huddinge, Karolinska Institutet, and Department of Respiratory Medicine, Karolinska University Hospital, Stockholm, Sweden

Sterk P J
Academic Medical Centre, University of Amsterdam, Amsterdam, The Netherlands;

Ström M
Department of Medicine Solna, Karolinska Institutet, Stockholm, Sweden

Sun K
Data Science Institute, Imperial College, London, UK

Thornton B
MSD, USA

Uddin M
AstraZeneca BioPharmaceuticals R&D, Gothenburg, Sweden

Versi A
National Heart and Lung Institute, Imperial College, London, UK

Vestbo J
Centre for Respiratory Medicine and Allergy, Institute of Inflammation and Repair, University of Manchester and University Hospital of South Manchester, Manchester Academic Health Sciences Centre, Manchester, United Kingdom

Vissing N H
COPSAC, Copenhagen Prospective Studies on Asthma in Childhood, Herlev and Gentofte Hospital, University of Copenhagen, Copenhagen, Denmark;

Wagers S S
BioSci Consulting, Maasmechelen, Belgium

Wheelock A
Respiratory Medicine Unit, Department of Medicine Solna and Center for Molecular Medicine, Karolinska Institutet, Stockholm, Sweden; and Department of Respiratory Medicine and Allergy, Karolinska University Hospital Solna, Stockholm, Sweden;

Wheelock C E
Institute of Environmental Medicine, Centre for Allergy Research, Karolinska Institutet, Stockholm, Sweden;

Wilson S J
Histochemistry Research Unit, Faculty of Medicine, University of Southampton, Southampton, UK;

Yasinska V
Department of Medicine Huddinge, Karolinska Institutet, and Department of Respiratory Medicine, Karolinska University Hospital, Stockholm, Sweden

Zounemat Kermani, N
Data Science Institute, Imperial College, London, UK

Contributors
IMA, PJS & KFC conceived the idea; IMA, KFC, PJS & RD obtained the funding for U-BIOPRED project; SB, JR, PH obtained the funding for the metagenomic analysis; SB, JR, PH, IMA, SHC, AV & KFC discussed the approach to data analysis; AV, FXI, MIA, NZK analysed the data; AV, FXI & KFC wrote the manuscript; all authors contributed to its finalisation and agreed with the final version for submission.

All authors gave final approval of the manuscript, had full access to all the data in the study, and had final
responsibility for the decision to submit for publication.

Declaration of interests

Dr Chotirmall has received lecture fees from Astra-Zeneca, serves on advisory boards for Boehringer-Ingelheim, CSL Behring and Pneumagen Ltd. and is on Data and Safety Monitoring Boards (DSMB) for Inovio Pharmaceuticals and Imam Abdulrahman Bin Faisal University all outside of the submitted work. Dr Maitland-van der Zee has received grants from Health Holland and she is the PI of a P4O2 (Precision Medicine for more Oxygen) public private partnership sponsored by Health Holland involving many private partners that contribute in cash and/or in kind (Boehringer Ingelheim, Breathomix, Fluidia, Ortec LogiQcare, Philips, Quantib-U, Smartfish, SODAQ, Thirona, TopMD and Novartis), received unrestricted research grants from GSK, Boehringer Ingelheim and Vertex, received consulting fees paid to her institution from Boehringer Ingelheim and AstraZeneca, and received honoraria for lectures paid to her institution from GlaxoSmithKline; outside the submitted work. Dr. Dahlén reports personal fees from AZ, Cayman Chemicals, GSK, Novartis, Regeneron, Sanofi, TEVA, outside the submitted work. Dr Baribaud owns stock options from his former and current employer. Dr Sterk is scientific advisor and has an officially non-substantial share in the SME Breathomix that produces eNoses. Dr Chung has received honoraria for participating in Advisory Board meetings of Roche, Merck, Shionogi and Rickett-Beckinson and has also been renumerated for speaking engagements for Novartis and AZ. Dr Riley worked for and had shares in GSK. Dr. Bates reports to be an employee of Johnson & Johnson and to have previously worked and holds stock in GSK. Dr Djukanovic declares consulting fees from Synairgen, Sanofi and Galapagos, lecture fees from GSK, AZ and Airways Vista and he holds shares from Synairgen. Dr Howarth is an employee of GSK. Dr Montuschi, Dr Kermani, Dr Adcock, Dr Ivan, Dr Abdel-Aziz and Mr Versi have nothing to declare.

Legend to Figures:

Figure 1. Airway of severe asthma subjects exhibits distinct species-level microbiome profiles. (A) Barplot showing the average species-level airway microbiome profiles across study cohorts. (B) Boxplot illustrating species-level $\alpha$-diversity of airway microbiome across study cohorts. (C) Barplots showing log2 fold-change of significantly differentially abundant airway microbial species across study cohorts. (D) Barplots showing log2 fold-change of significantly differentially abundant airway microbial species between the 4 groups of San, SAs/ex, MMA and HC. Significance: ns (not significant, $P > 0.05$), * $P [?] 0.05$, ** $P [?] 0.01$ and *** $P [?] 0.001$. Study cohorts: SAn (non-smokers with severe asthma); SAs/ex (smokers or ex-smokers with severe asthma), MMA (non-smokers with mild or moderate asthma) and HC (healthy controls).

Figure 2. Sputum inflammation type shows distinct species-level microbiome profiles. (A) Barplot showing the average species-level airway microbiome profiles according to granulocytic sputum inflammation type. (B) Boxplot illustrating species-level $\beta$-diversity of airway microbiome according to granulocytic sputum inflammation type. (C) Principle coordinate analysis (PCoA) based on Bray-Curtis dissimilarity illustrating species-level $\beta$-diversity of airway microbiomes in the 4 granulocytic sputum inflammation types and HC. (D) Barplots showing log2 fold-change of significantly differentially abundant airway microbial species according to granulocytic sputum inflammation type. (E) Barplots showing log2 fold-change of significantly differentially abundant airway microbial species between each of the sputum granulocytic types and healthy control. Significance: ns (not significant, $P > 0.05$), * $P [?] 0.05$, ** $P [?] 0.01$ and *** $P [?] 0.001$. Granulocytic sputum inflammation type: EOS: eosinophilic high (sputum eosinophil % $\geq 1.49\%$); NEU: neutrophilic high (sputum neutrophil count % $\geq 73.6\%$); MIX: both neutrophilic and eosinophilic high; PAU: paucigranulocytic (sputum eosinophil % < 1.49% and sputum neutrophil count % < 73.6%), and HC (healthy controls).

Figure 3. Molecular phenotypes of asthma exhibit distinct microbiome profiles. (A) Barplot showing the average species-level airway microbiome profiles across the 3 transcriptome-associated molecular phenotypes (TACs) and in healthy controls (HC). (B) Boxplot illustrating species-level $\alpha$-diversity of airway microbiome across the TACs and HC. (C) Principle coordinate analysis (PCoA) based on Bray-
Curtis dissimilarity illustrating species-level $\beta$-diversity of airway microbiomes in the 3 TACs and HC (D) Barplots showing log2 fold-change of significantly differentially abundant airway microbial species between the 3 TACs (E) Barplots showing log2 fold-change of significantly differentially abundant airway microbial species between each TAC and HCs. Significance: ns (not significant, $P > 0.05$), *$P [?] 0.05$, **$P [?] 0.01$ and ***$P [?] 0.001$.

**Figure 4. Heatmap of Spearman’s correlation coefficients** between FEV1 (% predicted), FEV1/FVC ratio, exacerbations in previous year, sputum neutrophil and eosinophil cell counts (%), blood neutrophil and eosinophil counts, and fractional exhaled nitric oxide (FeNO) levels against the abundance of top bacterial species for the 3 asthma cohorts. Significance of Spearman coefficient correlation: ns - not significant (adjusted $P > 0.05$), with clear box; *adjusted $P [?] 0.05$; **adjusted $P [?] 0.01$; ***adjusted $P [?] 0.001$. SAn: non-smokers with severe asthma; SAs/ex: smokers or ex-smokers with severe asthma; MMA: mild-moderate non-smoking asthma; HC: healthy non-smoking and non-asthmatic.

**Figure 5. Airway of severe asthma subjects exhibits temporal stability in species-level microbiome profiles.** (A) Barplots showing the baseline and longitudinal follow-up of species-level airway microbiome profiles in subjects with severe asthma, using the same order of subject identifiers. (B) Paired boxplot illustrating species-level $\alpha$-diversity of airway microbiomes across in severe asthma cases. (C) Principle coordinate analysis (PCoA) based on Bray-Curtis dissimilarity illustrating species-level $\beta$-diversity of airway microbiomes at baseline or longitudinal follow-up. Paired samples that are from the same subject are connected by a line. (D) Boxplot showing the species-level microbial compositional similarity across possible sample pairing: Within BL (pairing between any two baseline samples), Within LT (pairing between any two longitudinal follow-up samples), Unpaired (pairing between baseline and longitudinal follow-up samples from different subjects), and paired (pairing between baseline and longitudinal samples from the same subject). Timepoint: BL (baseline) and LT (longitudinal follow-up). Significance: ns (not significant, $P > 0.05$), *$P [?] 0.05$, **$P [?] 0.01$ and ***$P [?] 0.001$.

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