The Role of Mesoscale Cloud Morphology in the Shortwave Cloud Feedback

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December 7, 2022

Abstract

A supervised neural network algorithm is used to categorize near-global satellite retrievals into three mesoscale cellular convective (MCC) cloud morphology patterns. At constant cloud amount, morphology patterns differ in brightness associated with the amount of optically-thin cloud features. Environmentally-driven transitions from closed MCC to other morphology patterns, typically accompanied by more optically-thin cloud features, are used as a framework to quantify the morphology contribution to the optical depth component of the shortwave cloud feedback. A marine heat wave is used as an out-of-sample test of closed MCC occurrence predictions. Morphology shifts in optical depth between 65°S - 65°N under projected environmental changes (i.e., from an abrupt quadrupling of CO2) assuming constant cloud cover contributes between 0.04-0.07 W/m²/K (aggregate of 0.06) to the global mean cloud feedback.
The Role of Mesoscale Cloud Morphology in the Shortwave Cloud Feedback

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Key Points:
• Mesoscale cloud morphology albedo varies with fraction of optically-thin cloud features
• Closed mesoscale cellular convection occurrence changes are predictable from environmental controls
• Environmentally-driven cloud morphology changes in optical depth produce a short-wave feedback of 0.04 - 0.07 W m⁻² K⁻¹

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Abstract
A supervised neural network algorithm is used to categorize near-global satellite retrievals into three mesoscale cellular convective (MCC) cloud morphology patterns. At constant cloud amount, morphology patterns differ in brightness associated with the amount of optically-thin cloud features. Environmentally-driven transitions from closed MCC to other morphology patterns, typically accompanied by more optically-thin cloud features, are used as a framework to quantify the morphology contribution to the optical depth component of the shortwave cloud feedback. A marine heat wave is used as an out-of-sample test of closed MCC occurrence predictions. Morphology shifts in optical depth between 65°S - 65°N under projected environmental changes (i.e., from an abrupt quadrupling of CO$_2$) assuming constant cloud cover contributes between 0.04 - 0.07 W m$^{-2}$ K$^{-1}$ (aggregate of 0.06) to the global mean cloud feedback.

Plain Language Summary

Marine boundary layer clouds are essential to the energy balance of Earth, reflecting sunlight back to space and covering a large percentage of the globe. These clouds can organize into open, closed, and disorganized cellular structures. Cloud morphology patterns differ in their ability to reflect sunlight back to space. Closed cellular clouds transition to open and disorganized clouds associated with changes in environmental factors (i.e., sea surface temperature and the stability of the lower atmosphere). This study examines how a shift in cloud morphology with climate change will change the amount of sunlight reflected back to space: a shortwave cloud feedback. We predict the frequency of occurrence of closed cellular clouds based on changes in environmental factors estimated from global climate model simulations under climate change scenarios. An observed marine heat wave is used to test occurrence predictions. The change in reflected sunlight due to the shift between morphology types at fixed fractional cloud cover produces a global feedback that ranges between 0.04 - 0.07 W m$^{-2}$ K$^{-1}$.

1 Introduction

The response of low clouds to global warming is one of the largest uncertainties in projections of climate change. Low clouds strongly affect the amount of shortwave radiation reflected back to space from Earth, but do not affect outgoing longwave radiation substantially (e.g., Hartmann & Short, 1980). How clouds alter reflected shortwave radiation in response to warming is termed the shortwave cloud feedback. It is uncertain how low clouds will respond to changes in the atmosphere in a warming world and contribute to this feedback (e.g., Zelinka et al., 2012a, 2012b, 2016, 2020; Ceppi et al., 2017). This uncertainty drives spread in the climate sensitivity predicted by global climate models (GCMs) (e.g., Caldwell et al., 2016). Thus, improving our understanding of how low clouds will change in a warming world is critical to predicting 21st century warming (e.g., Bony et al., 2015; Sherwood et al., 2020).

At zeroth order, the mean optical thickness and extent of low cloud strongly affect global albedo (Engstrom et al., 2015b). However, low clouds encompass different morphology patterns with regionally varied mesoscale features (e.g., large-scale structures O~100 km of clouds with typical cell sizes O~20-80 km, Wood & Hartmann, 2006; Zhou et al., 2021; Stevens et al., 2019). For example, open and closed mesoscale cellular convective (MCC) organization that dominate subtropical stratocumulus (Sc) cloud decks and marine cold-air outbreaks (Muhlbauer et al., 2014; I. L. McCoy et al., 2017; Mohrmann et al., 2021) are distinctly different from the more disorganized cumulus (Cu) cloud structures in the tropical trade-winds (Stevens et al., 2019). The radiative properties of mesoscale morphology patterns differ even for the same cloud areal coverage (I. L. McCoy et al., 2017), indicating microphysical and macrophysical differences between organization structures (consistent with Painemal et al., 2010; Wood, 2012; Terai et al., 2014; Muhlbauer...
The occurrence of cloud morphology patterns is strongly connected to environmental factors (e.g., Agee et al., 1973; Atkinson & Zhang, 1996; Wood, 2012; Muhlbauer et al., 2014; I. L. McCoy et al., 2017; Bony et al., 2020; Schulz et al., 2021; Eastman et al., 2021; Mohrmann et al., 2021; Narenpitak et al., 2021).

Past literature has used changes in cloud horizontal extent (detectable cloud amount termed cloud fraction, CF) in response to warming to constrain changes in albedo (e.g., Qu et al., 2015; Klein et al., 2017). Recent analyses have examined regional contributions based on large-scale meteorology (Scott et al., 2020; Myers et al., 2021; Cesana & Del Genio, 2021) and, following a radiative kernel framework, dissected the change in cloud radiative properties into a CF component and a combined optical thickness and altitude component (Scott et al., 2020; Myers et al., 2021). The amount and optical depth components of the cloud radiative effect are likely to encapsulate some of the variation in cloud morphology radiative properties.

State-of-the-art GCMs from phase 6 of the Coupled Model Intercomparison Project (CMIP6) do not capture the radiative properties of low clouds largely due to poorly representing cloud heterogeneity. GCMs’ inability to simulate optically-thin cloud features at lower CF is thought to be a contributor to this issue (Konsta et al., 2022). Optically-thin features are observed across mesoscale cloud morphologies (Leahy et al., 2012; Wood et al., 2018; O, Wood, & Bretherton, 2018; Mieslinger et al., 2021) and are likely associated with precipitation processes during cloud morphology development and transition (O, Wood, & Tseng, 2018). In addition to the so-called “too few, too bright” bias (Nam et al., 2012; Engstrom et al., 2015a; Bender et al., 2017; Konsta et al., 2022), representation of morphology and generation of optically-thin features may also effect GCM biases in cyclone cold sectors (Bodas-Salcedo et al., 2014; Williams & Bodas-Salcedo, 2017) and simulated mean-state SST (e.g., coastal gradients, regional seasonal cycles) (Farneti et al., 2022; Hyder et al., 2018; Wang et al., 2022). These diagnosed model biases suggest that consideration of mesoscale cloud morphology will assist in improving mean-state cloud radiative properties and their subsequent environmental impacts in GCMs.

In this study, we use a process-driven morphology lens to gain insight into how low clouds will change under climate change and feedback on the climate system. We calculate the optical depth component of the shortwave cloud feedback associated with shifting the partitioning of clouds between different morphologies in response to warming. We use a global, multi-year morphology identification dataset for three cloud patterns (Wood & Hartmann, 2006): open, closed, and cellular but disorganized MCC (Section 2.1). We examine the underlying reason behind differences in MCC radiative properties (Section 3.1) and develop relationships between morphology occurrence and environmental controls (Section 3.2), analogous to cloud-controlling factor analysis (e.g., Stevens & Brenguier, 2009; Heintzenberg et al., 2009; Qu et al., 2015; Klein et al., 2017; Scott et al., 2020). We leverage this predictive relationship and cloud morphology radiative properties to quantify the morphology contribution to the shortwave cloud feedback (Section 3.3). We conclude with a discussion and summary of the results (Section 4, 5).

2 Materials and Methods

2.1 Mesoscale Cloud Morphology Classifications

Wood and Hartmann (2006) (hereafter WH6) developed a supervised neural network algorithm that is applied to liquid water path (LWP) retrievals from the NASA Moderate Resolution Imaging Spectroradiometer (MODIS) (King et al., 1997; Platnick et al., 2003). This method uses the magnitude and spatial distribution of LWP to identify three types of marine cloud morphology patterns: open, closed, and cellular but disorganized MCC. Each identification is for a 256×256 km² scene from a MODIS swath and each
scene is overlapped by 128 km across and along the swath to maximize data usage (Figure 1a). Only scenes where clouds are majority liquid-topped (i.e., have a LWP retrieval), cloud top temperature is within 30 K of surface temperature (i.e., low clouds), and where sea surface temperature is above 275 K (i.e., avoiding sea ice, equating to ∼65°N-65°S) are used. We use an expanded, multi-year dataset from applying WH6 to MODIS collection 6.1 (Platnick et al., 2015) for 2003-2018. This dataset is referred to here as Morphology Identification Data Aggregated over the Satellite-era (MIDAS). WH6 has maintained skill across satellite retrieval collections since a subset of these identifications (2007-2010) were confirmed to have the original 85-90% success rate as WH6 in cloud type identifications (Eastman et al., 2021).

The distribution of cloud morphological types in MIDAS is consistent with previous MCC climatologies (Agee et al., 1973; Atkinson & Zhang, 1996; Muhlbauer et al., 2014) (Figure S1). Closed MCC contribute to the sub-tropical Sc decks (Klein & Hartmann, 1993) to the west of continents and to the high latitudes (Figure S1a). Open MCC are the cloudy-edged cellular features seen downwind of the Sc decks and in the cold sectors of cyclones (or cold-air outbreaks) in the mid-latitudes (Figure S1b). The remaining low clouds across the globe, including trade Cu downwind of subtropical closed and open MCC and most organizational structures in the tropics (Rasp et al., 2020), are classified in the third, expansive category of cellular but disorganized MCC (Figure S1c).

2.2 Radiative Properties

We look at two aspects of MCC radiative properties in this study. Albedo is estimated for each MCC identified scene using Clouds and the Earth’s Radiant Energy System (CERES) (Wielicki et al., 1996) top of atmosphere upwelling shortwave fluxes and solar insolation from the Single Scanner Footprint (SSF) daily 1°×1° gridded product (NASA/LARC/SD/ASDC, 2015). Each mean scene albedo is computed for data within a 128 km radius circle centered on the MCC identification (I. L. McCoy et al., 2017).

We also examine the amount of optically-thin cloud features that occur within each MCC identification scene. These features are approximately identified from MODIS Level 2 cloud optical depth retrievals (Platnick et al., 2015) using the observation-based optical depth criteria: τ < 3 (O, Wood, & Tseng, 2018). For each identified scene, we generate a PDF of cloud optical depth and estimate the fraction of optically-thin cloud (fthin) as the proportion that satisfy this criteria.

Mean monthly incoming solar flux (SW↓) over 2003-2018 from edition 4.1 of the CERES Energy Balanced and Filled Top of Atmosphere product (NASA/LARC/SD/ASDC, 2019) is used to scale changes in shortwave reflection to energy units in Equations 5, 6. We also compute a mean monthly low cloud fraction over 2003-2018 assuming low cloud is overlapped (as in Scott et al., 2020) and using the cloud mask from the daily Level-3 MODIS Atmosphere Global COSP 1°×1° gridded product (Pincus et al., 2020) (Figure S2c).

2.3 Environmental Controls

Sea surface temperature (SST) and lower tropospheric stability (e.g., estimated inversion strength, EIS) are likely the dominant meteorological drivers of low cloud feedback (Qu et al., 2015; Bretherton, 2015; Klein et al., 2017; Scott et al., 2020; Myers et al., 2021; Cesana & Del Genio, 2021; Ceppi & Nowack, 2021). We use European Center for Mid-range Weather Forecasting (ECMWF) ERA5 reanalysis data (Copernicus Climate Change Service, 2017) collocated to morphology identifications to capture the influence of these environmental controls on cloud morphology. In addition to SST, we use a measure of lower tropospheric stability with proved skill in predicting cloud morphology occurrence (I. L. McCoy et al., 2017), the marine cold-air outbreak index (Kolstad
Because $M$ is also a good predictor of boundary layer depth (Naud et al., 2018, 2020), using it as a predictor may implicitly factor in optically-thin feature occurrence (O, Wood, & Tseng, 2018). $M$ can also be formulated as a combined measure of EIS and surface forcing (I. L. McCoy et al., 2017). See Text S1, S2 for details.

2.4 Global Climate Models

We use 11 GCMs participating in CMIP6 to estimate the changes in environmental controls under climate change using the idealized abrupt quadrupling of $CO_2$ experiment (which does not include changes in other forcers, e.g., aerosols): AWI-CM-1-1-MR, BCC-ESM1, CanESM5, CNRM-CM6-1, GFDL-CM4, GISS-E2-1-G, GISS-E2-1-H, HadGEM3-GC31-LL, IPSL-CM6A-LR, MIROC6, and MRI-ESM2-0. Changes in $M$ and SST are estimated from the difference between $piControl$ and abrupt $4 \times CO_2$ simulations and reported per degree of global warming ($\Delta T=4.69$ K, the area weighted global mean change in 2-m air temperature). We use the multi-model mean $\Delta SST/\Delta T$, $\Delta M/\Delta T$ (Figure S2a, b) in our calculations (see Text S1)(Qu et al., 2014b; Borchert et al., 2021; Carmo-Costa et al., 2022).

3 Results

3.1 Radiative Impact of Cloud Morphologies

Open, closed, and disorganized MCC as identified by WH6 have distinct radiative (I. L. McCoy et al., 2017) and microphysical (Muhlbauer et al., 2014; Zhou et al., 2021; Danker et al., 2022) properties, consistent with other MCC studies (e.g., Painemal et al., 2010; Wood, 2012; Terai et al., 2014; Bretherton et al., 2019; Watson-Parris et al., 2021; Kang et al., 2022). We utilize the updated MIDAS dataset and CF vs. albedo diagrams (following earlier studies Bender et al., 2011; Engstrom et al., 2015b; Feingold et al., 2016; Bender et al., 2017; I. L. McCoy et al., 2017; Feingold et al., 2017) to isolate the cloud properties that contribute to distinction between morphologies. At constant CF, albedo differs significantly between cloud morphologies with closed MCC more effectively scattering sunlight than open (I. L. McCoy et al., 2017) and disorganized MCC (Figure 1b, c). The curvature of these relationships is consistent with Bender et al. (2017).

MIDAS classifications capture low clouds at different stages in their Lagrangian evolution, which gives us insight into the relationship between process-driven cloud evolution and radiative properties. Closed MCC (e.g., Sc) tend to transition into open MCC or more disorganized clouds (e.g., trade Cu) in the subtropics (e.g., Wyant et al., 1997; Yamaguchi et al., 2017; Eastman et al., 2021, 2022). Similar transitions, associated with even stronger surface forcing in cold-air outbreaks, occur in the mid-latitudes (e.g., Agee & Dowell, 1973; I. L. McCoy et al., 2017; Tornow et al., 2021). Boundary-layer deepening and increased precipitation are important in cloud morphology transitions in the mid-latitudes (and may be further modulated by mixed-phase processes, Tornow et al., 2021; Danker et al., 2022) and in the subtropics (Wyant et al., 1997; Yamaguchi et al., 2017; Sarkar et al., 2019; Smalley et al., 2022) although deeper boundary layers are not necessary (Eastman et al., 2022). In the subtropics, closed MCC tend to evolve to open MCC under heightened wind conditions, leading to increased boundary layer moisture and rain rates by increasing relative humidity or latent heat fluxes. In contrast, closed MCC tend to evolve to disorganized MCC under warmer SST conditions and increased entrainment of dry-air at cloud top (Eastman et al., 2022). In situ sampling in the northeast Pacific (NEP) Sc to Cu transition identified optically-thin cloud features at the detraining edges of broken clouds in the deeper boundary layers at the end of the transition (Wood et al., 2018; O, Wood, & Bretherton, 2018; Bretherton et al., 2019). The relationship between optically-thin features, precipitation removal of cloud droplets, and deeper boundary lay-
Figure 1. a) Example identified scenes (256×256 km$^2$) show typical cloud morphology patterns within each MIDAS category. MIDAS scene cloud fraction, from MODIS cloud mask, vs. b) CERES albedo and d) optically-thin cloud feature fraction from MODIS optical depth, $f_{thin}$.

Corresponding PDFs for c) albedo, e) $f_{thin}$, and f) CF with legends detailing median and 25-75 th percentiles. Morphology data is binned into 100 cloud fraction quantiles in b), d) and their median (dots) and 25-75 th percentiles (shading) shown.
ers is robust globally (O, Wood, & Tseng, 2018). Disorganized MCC encompasses many types of cloud patterns, from NEP Cu to more varied trade-wind structures (Stevens et al., 2019; Rasp et al., 2020). In the trades, cloud reflectivity is described well by cloud amount (Bony et al., 2020) but optically-thin features are also frequently observed (Leahy et al., 2012; Mieslinger et al., 2019, 2021). These include both small, suppressed clouds at the lifting condensation level (Mieslinger et al., 2019, 2021; Delgadillo et al., 2018) and detraining layers like in the NEP (Schulz et al., 2021) generated through deepening and moistening processes (Narenpitak et al., 2021; Vogel et al., 2021).

Variation in the amount of optically-thin cloud features across mesoscale cloud morphologies contributes to the separation of their albedo curves. Optically-thin features act to increase cloud cover without a commensurate increase in cloud albedo. Indeed, CF vs. $f_{thin}$ curves have the opposite descending order (disorganized, open, closed) from the albedo curves (closed, open, disorganized) (Figure 1d, e). Predictions of scene albedo using both CF and $f_{thin}$ are more accurate than when only CF is used, showing the radiative importance of these features (Figure S7). We do not capture all of the variability in albedo with these two terms (Figure S7b), as expected. For example, aerosols are not considered here which generally influence cloud radiative properties and specifically influence optically-thin cloud feature development, often through modulating morphology transitions (e.g., Twomey, 1977; Albrecht, 1989; Zuidema et al., 2008; Carslaw et al., 2013; Yamaguchi et al., 2017; O, Wood, & Tseng, 2018; I. L. McCoy et al., 2021; Eastman et al., 2021; Tornow et al., 2021; Wyant et al., 2022; Eastman et al., 2022).

We hypothesize that variation in cloud evolution mechanisms lead to differences in the radiative properties of morphologies. Broadly, processes analogous to warming-deepening will support the transition to more disorganized cloud morphologies, possessing the largest $f_{thin}$ of the three WH6 morphology types (e.g., Wyant et al., 1997; Eastman et al., 2022; Narenpitak et al., 2021). Processes analogous to precipitation-depletion will support the transition to morphologies with more detraining cloud features including open MCC, which has the second largest $f_{thin}$ of the WH6 categories (e.g., Wyant et al., 1997; Yamaguchi et al., 2017; Sarkar et al., 2019; Tornow et al., 2021; Vogel et al., 2021; Smalley et al., 2022; Eastman et al., 2022).

The balance of different cloud controlling processes will likely change in an enhanced-$CO_2$ climate, potentially manifesting in different proportions of morphologies. This is because morphology occurrence is dependent on environmental conditions (e.g., shown for WH6 in I. L. McCoy et al., 2017; Eastman et al., 2021, 2022). Utilizing our knowledge of present-day transitions between morphologies, we use the framework of transitions to/from closed MCC relative to open and disorganized MCC to predict how morphology will change associated with shifts in environmental controls under climate change. A climate-driven morphology occurrence shift will result in a change in optically-thin cloud feature amount, creating dimmer or brighter cloud scenes even for the same detected cloud amount. We estimate the magnitude of this change and its influence on top of atmosphere radiation in the remaining sections.

3.2 Predicting Shifts in Cloud Morphology Occurrence from Changes in Environmental Controls

We examine the relative frequency of occurrence for all MIDAS MCC categories in a simple environmental phase space: M and SST (Section 2.3). We find that the relative frequency of closed MCC ($f_{Closed}$) has an approximately linear relationship with M and SST, both over a base period (2003-2012, Figure 2a) and the complete MIDAS period (2003-2018, Figure S8). The base period is separated to facilitate out-of-sample testing. There are two broad tendencies of morphology frequency shift across M-SST space. Below SST $\approx 290$ K, more frequent open MCC ($f_{Open}$) occurs with increasing M (greater instability) (Figure 2b). Above SST $\approx 290$ K, $f_{Closed}$ tends toward more frequent dis-
Figure 2. MIDAS relative occurrence frequency in the M-SST environmental phase space over a base period (2003-2012) for a) closed, b) open, and c) cellular but disorganized MCC (see Figure S8 for total MIDAS period, 2003-2018). Lines for $SST=290$ K (dashed) and closed MCC observation number (contours) are included in a). Equation 3 is applied to the $f_{closed}$ composite in a), see Text S2. d) The resulting prediction is plotted vs. the original $f_{closed}$ with mean (dots) and 95% confidence bounds (lines) for each of the 100 observational quantile bins. Quantile means are correlated with $R^2=0.99$ at 95% confidence and have a linear regression slope near unity ($m=0.95$). Out-of-sample MHW (Figure S2c) test results are shown in a, e-f). Yearly anomalies are relative to the total MIDAS period. Yearly mean $M$, SST values for the MHW region (grey line, points) are plotted in a) with maximum, minimum SST anomaly markers corresponding to symbols in f). e) Yearly mean morphology frequency anomalies for $f_{closed}$ vs. $f_{open}$ and $f_{disorganized}$ are shown with 2SE encompassing monthly, regional uncertainty. f) Observed yearly $f_{closed}$ anomalies vs. mean bootstrapped predictions from Equation 3. Years 2013-2018 (circles) are out-of-sample tests. Lines for 95% confidence (not visible) from the bootstrapped coefficients applied to the regional, monthly prediction and 1:1 (grey) are included.
organized cloud types \( f_{\text{Disorganized}} \) (Figure 2c). These behaviors are consistent with closed MCC undergoing Lagrangian transitions to disorganized at warmer SSTs (Eastman et al., 2022).

Using the morphology transition framework proposed in Section 3.1, we focus on predicting \( f_{\text{Closed}} \). Utilizing the \( f_{\text{Closed}} \) dependency in M-SST space, we use multiple linear regression to develop two predictive models from Figure 2a fitting all data together:

\[
f_{\text{Closed}} = a_{\text{total}} \cdot M + b_{\text{total}} \cdot \text{SST} + c_{\text{total}}
\]

and fitting \( \text{SST} > 290 \text{ K} \) and \( \text{SST} \leq 290 \text{ K} \) data separately:

\[
f_{\text{Closed}} = \begin{cases} a_{>290} \cdot M + b_{>290} \cdot \text{SST} + c_{>290} : \text{SST} > 290 \text{K} \\ a_{\leq290} \cdot M + b_{\leq290} \cdot \text{SST} + c_{\leq290} : \text{SST} \leq 290 \text{K} \end{cases}
\]

The latter formulation accounts for the more pronounced dependence (stronger gradient) of closed MCC on the environment over subtropical surface temperatures (\( \text{SST} > 290 \text{ K} \)) (Figure 2a). As M and SST increase in this regime, closed MCC tend to shift more toward disorganized than open MCC (the reverse of the \( \text{SST} \leq 290 \text{ K} \) regime) (Figure 2b, c). Equation 3 captures more of this behavior than Equation 2, which is reflected in the closer correspondence between its prediction and observed \( f_{\text{Closed}} \) (the slope is closer to unity: \( m=0.95 \) in Figure 2d compared to \( m=0.88 \) in Figure S9). See Table S1 for coefficients and Text S2 for expanded fit discussion (Qu et al., 2015; D. T. McCoy et al., 2022).

Equation 3 captures the base period behavior well but will only be useful for our analysis if it can also reliably predict frequency changes under future climate scenarios (assuming it is robust under time-scale invariance, Klein et al., 2017). Following Myers et al. (2021), we utilize a subtropical marine heatwave (MHW) as an out-of-sample test of SST anomalies analogous to those associated with climate change. We examine a region of the NEP (15-30°N, 140-115°W, Figure S2c) that was heavily influenced between November 2013-January 2016 by a MHW (driven and maintained by cloud changes, Myers et al., 2018; Schmeisser et al., 2019). All three MCC types are prevalent in this region (Figure S1). Yearly regional anomalies are computed relative to the full MIDAS period (2003-2018). The MHW affected 2015 the most (e.g., Myers et al., 2021) and yielded a ~2σ event in yearly regional SST anomaly (shading in Figure 2a, e-f). In response to the MHW SST anomaly, \( f_{\text{Closed}} \) was anomalously low while \( f_{\text{Open}} \) decreased slightly and \( f_{\text{Disorganized}} \) increased significantly. Given the warm initial state of the region, the shift in relative occurrence frequency from \( f_{\text{Closed}} \) toward \( f_{\text{Disorganized}} \) more than \( f_{\text{Open}} \) (Figure 2e) is consistent with expectations (Eastman et al., 2022) and the shift in mean regional, yearly M, SST values toward regions of higher \( f_{\text{Disorganized}} \) with increasingly positive SST anomalies (Figure 2a). Equation 3 robustly predicts yearly regional \( f_{\text{Closed}} \) anomalies \( (R^2 = 0.89) \), increasing our confidence in its ability to infer changes in morphology in response to changes in dominant large-scale environmental factors. Larger SST anomalies are harder to predict (as in Myers et al., 2021) and there are slight over and under predictions of \( \Delta f_{\text{Closed}} \) above and below SST anomalies of \( \approx \pm 1.5 \text{ K} \).

### 3.3 Predicting the Morphology Feedback

Analogous to cloud-controlling factor analysis (e.g., Stevens & Brenguier, 2009; Heintzenberg et al., 2009; Qu et al., 2015; Klein et al., 2017; Scott et al., 2020), we develop a predictive equation for \( \Delta f_{\text{Closed}} \) to estimate the morphology feedback associated with changes in environmental controls under climate change:

\[
\frac{\Delta f_{\text{Closed}}}{\Delta T} = a \frac{\Delta M}{\Delta T} + b \frac{\Delta \text{SST}}{\Delta T}
\]

We utilize the coefficients from Equation 3, which were tested using a MHW in Section 3.2. Predictions using coefficients from Equation 2 are shown in Figure S10. See Section 2.4 for \( \Delta M/\Delta T \) and \( \Delta \text{SST}/\Delta T \) estimation.
The respective patterns of $\Delta M/\Delta T$ and $\Delta SST/\Delta T$ combine to produce the pattern of $\Delta f_{\text{Closed}}/\Delta T$ shown in Figure 3a. There are decreases in present-day regions of closed MCC (i.e., subtropical cloud decks, high latitudes, Figure S1a). Where closed MCC clouds persist $\Delta f_{\text{Closed}}=0$, $f_{\text{Closed}}$ also increases in poleward regions adjacent to the Southeast Pacific, Southeast Atlantic, and Canarian cloud decks, and in the northern and eastern Atlantic. Increasing $f_{\text{Closed}}$ corresponds to increasing stability (decreasing $\Delta M/\Delta T$) and small $\Delta SST/\Delta T$ increases. Decreasing $f_{\text{Closed}}$ occurs for the opposite conditions (increasing $\Delta M/\Delta T$, large $\Delta SST/\Delta T$ increases). Increases in stability do not outweigh the influence of surface warming in all instances.

We estimate the optical depth component of the morphology feedback assuming that $\Delta f_{\text{Closed}}$ shifts to a single cloud type, either $\Delta f_{\text{Open}}$ or $\Delta f_{\text{Disorganized}}$. In reality, shifts to/from closed MCC will likely be associated with a mixture of open MCC and disorganized clouds. However, we can use shifts to/from open MCC as a lower bound (smaller albedo difference from closed MCC at constant CF, Figure 1b) while shifts to/from disorganized will be an upper bound (larger albedo difference). To estimate the aggregate response, we calculate the feedback conditioning shifts based on the initial ($i$), mean state SST: closed to open MCC when $\text{SST}_i < 290 \, \text{K}$, closed to disorganized when $\text{SST}_i > 290 \, \text{K}$.

In this study we are isolating the feedback associated with changes in the optical thickness of cloud due to morphology shifts. We hold boundary layer CF fixed. This is analogous to the calculation of the optical depth, amount, and altitude components of the cloud feedback while holding all other component changes constant (Zelinka et al., 2012b, 2012a, 2016). We formulate our feedback estimate per degree warming resulting from a shift between closed MCC and either open (Figure 3b) or disorganized MCC (Figure 3c):

$$FB_{C\rightarrow O} = SW^\downarrow \cdot (\alpha_O - \alpha_C) \cdot \frac{\Delta f_{\text{Closed}}}{\Delta T}$$  \hspace{1cm} (5)

$$FB_{C\rightarrow D} = SW^\downarrow \cdot (\alpha_D - \alpha_C) \cdot \frac{\Delta f_{\text{Closed}}}{\Delta T}$$  \hspace{1cm} (6)

Morphology albedos ($\alpha_C$, $\alpha_O$, $\alpha_D$) are estimated in Equations 5, 6 by applying their respective, global CF-albedo relationships (Figure 1b) to the monthly mean CF in each grid box (Section 2.2, Figure S2c). We multiply by monthly, grid $\Delta f_{\text{Closed}}/\Delta T$ and mean solar flux ($SW^\downarrow$, Section 2.2) values before computing the final feedback as the mean over all seasons. The aggregate closed to open, disorganized feedback uses Equations 5 or 6 conditional on $\text{SST}_i$ in each grid box (Figure 3d).

The morphology feedback magnitude varies geographically, consistent with the geographic pattern of $\Delta f_{\text{Closed}}/\Delta T$ (increasing, constant, or decreasing $\Delta f_{\text{Closed}}/\Delta T$, Figure 3a, leads to negative, null, or positive feedback, b-d). The area-averaged morphology feedback contribution between 65°S - 65°N to the global mean shortwave cloud feedback is 0.04 W m⁻² K⁻¹ for closed to open MCC and 0.07 W m⁻² K⁻¹ for closed to disorganized MCC. The more realistic aggregate estimate of closed MCC to open and disorganized MCC conditional on initial SST is 0.06 W m⁻² K⁻¹. Equation 2 estimates are similar (0.04, 0.08, and 0.06 W m⁻² K⁻¹, respectively) with subtly different geographic distributions (Figure S10).

4 Discussion

The contribution of the optical depth component of the morphology feedback under abrupt CO₂ quadrupling (Figure 3) to the global mean shortwave cloud feedback is 0.04 - 0.07 W m⁻² K⁻¹ with an aggregate of 0.06 W m⁻² K⁻¹. To place this in context, the aggregate morphology feedback is the same order of magnitude as recent assessments of several cloud feedback components (e.g., mid-latitude marine low cloud amount, land cloud amount) and ~15% of total cloud feedback (Sherwood et al., 2020). A global shift
Figure 3. a) Predicted $\Delta f_{\text{Closed}}$ from CMIP6 simulated multi-model mean $\Delta SST/\Delta T$ (Figure S2a) and $\Delta M/\Delta T$ (Figure S2b) responses under an abrupt quadrupling of $CO_2$. The optical depth component of the morphology feedback per degree global temperature change is estimated assuming closed MCC shifts to b) open MCC, c) cellular but disorganized MCC, or d) an aggregate of open and disorganized MCC dependent on initial SST. Figure S10 shows estimates using Equation 2 coefficients instead (Table S1).
from closed to open MCC (0.04 W m\(^{-2}\) K\(^{-1}\), our lower bound) for one degree of global warming is four times larger (and the opposite sign) than the expected radiative perturbation from closing all pockets of open cells in closed MCC cloud decks in the present day (0.01 W m\(^{-2}\)) (Watson-Parris et al., 2021). This magnitude difference is likely due in part to the higher frequency of open clouds in MIDAS, which includes both pockets of open cells (as in Watson-Parris et al., 2021) and open cell regions that span large areas of ocean without closed cell presence. The aggregate is also comparable with various feedback estimates in Cesana and Del Genio (2021): the Sc and Cu feedback under historic trends, Cu under abrupt/4 × CO\(_2\) and +4K, and low equilibrium climate sensitivity CMIP6 models. It is \(\sim30\%\) of Myers et al. (2021) near-global marine cloud feedback estimate (0.19 ± 0.12 W m\(^{-2}\) K\(^{-1}\)) and \(\sim50\%\) of the difference between CMIP5 (0.09 W m\(^{-2}\) K\(^{-1}\)) and CMIP6 (0.21) multi-model mean near-global net low cloud feedback that was associated with an increase in CMIP6 equilibrium climate sensitivity (Zelinka et al., 2020).

Consideration of changes in morphology occurrence under climate change may be helpful in predicting shortwave cloud feedback. Current models appear to poorly capture cloud heterogeneity and associated radiative effect (Konsta et al., 2022). The geographical pattern of the morphology feedback (Figure 3b-d) contributes regions of positive and negative feedback that may be useful to consider in understanding patterns of radiative feedback. For example, in sub-tropical cloud decks the morphology feedback is largely negative, opposing positive cloud amount feedback (Qu et al., 2014a). MCC transitions may also contribute to observed variations in cloud optical depth as a function of temperature (Terai et al., 2016; Wall, Storelvmo, et al., 2022). Future work will seek to quantify remaining morphology feedback components (i.e., cloud amount and altitude), utilize observed morphology behaviors to constrain GCMs (e.g., Zelinka et al., 2022), and investigate aerosol influence separate from meteorological drivers (e.g., Zhang et al., 2022; Zhang & Feingold, 2022; Wall, Norris, et al., 2022) on morphology occurrence, transitions, and radiative properties.

Will sub-setting the broad ”cellular but disorganized” WH6 morphology category (e.g., by contrasting MIDAS with other classification methods, Stevens et al., 2019; Rasp et al., 2020; Denby, 2020; Yuan et al., 2020; Janssens et al., 2021) help improve the morphology feedback estimate in regions that this category dominates (e.g., the tropics)? It is likely that the development and production of optically-thin cloud features (and other characteristics impacting cloud radiative properties) varies across the sub-categories developed in these studies (e.g., Mohrmann et al., 2021; Schulz et al., 2021; Narenpitak et al., 2021; Vogel et al., 2021). While including more morphological types may only add variation around our central estimate of the morphology feedback, it could help to develop a clearer global picture of cloud morphology evolution and their sensitivities to climate change. Advances in process level understanding of cloud morphology evolution (e.g., in the ”disorganized” trade winds through the EUREC4 A/ATOMIC field campaign, Stevens et al., 2021) will also assist in this effort.

5 Summary

Global cloud morphology patterns (large-scale structures O\(\sim\)100 km of clouds with cell sizes O\(\sim\)10-50 km, Figure 1a, S1) identified by a supervised neural network algorithm based on their liquid water path characteristics (i.e., closed, open, and disorganized mesoscale cellular convection (MCC), Wood & Hartmann, 2006) have distinct radiative properties over 65°N-65°S, 2003-2018 (Section 3.1). Closed MCC more effectively reflect sunlight than open and disorganized MCC for the same cloud coverage (Figure 1b). This is significantly influenced by differing preponderances of optically-thin cloud features (\(\tau < 3\)) between morphologies (Figure 1d, S7). Approximately, we can think of morphology transitions (i.e., from closed to open or disorganized MCC) as a shift in the fraction of optically-thin cloud features, which both contributes to radiative differences between mor-
phologies and are a diagnostic of the underlying processes driving morphological evolution. An implication of this is that accurate prediction of future climate may require understanding when and where different cloud morphologies occur.

We utilize knowledge of present-day cloud morphology transitions to develop a framework for estimating the optical depth component of the shortwave cloud feedback associated with shifts in morphology responding to environmental changes under climate change (Section 3.3). The morphology feedback is estimated as the shift from closed MCC to open and/or disorganized MCC in response to changes in environmental controls while cloud amount is held fixed at present-day regional mean values. This allows us to examine the contribution of morphology changes to cloud brightness separate from any accompanying cloud amount changes (i.e., capturing the influence of optically-thin cloud features). This is analogous to the partitioning of cloud feedback between optical depth, amount, and altitude components in previous studies (e.g., Zelinka et al., 2012a). Shifts to open and disorganized MCC provide a lower and upper bound, respectively, while shifting to their aggregate provides a best estimate.

We develop a predictive model based on multiple linear regression (Equation 3) for the relative occurrence frequency of closed MCC ($f_{\text{Closed}}$) based on its dependence on sea surface temperature and M, a measure of lower tropospheric stability (Section 3.2, Figure 2a, d). Model predictive ability is tested with an out-of-sample case (i.e., a subtropical marine heatwave with SST anomalies analogous to climate change following Myers et al., 2021) (Figure 2f). Mean changes in SST and M in response to an abrupt quadrupling of CO$_2$ are estimated from 11 models participating in phase 6 of the Coupled Model Intercomparison Project (CMIP6) and used to predict $\Delta f_{\text{Closed}}$ under climate change (Figure 3a).

Predictions of $\Delta f_{\text{Closed}}$ based on GCM predictions of $\Delta SST/\Delta T$ and $\Delta M/\Delta T$ indicate that closed MCC occurrence will increase in the northern and eastern Atlantic, portions of southern hemisphere mid-latitudes, and pole-ward of southern hemisphere subtropical cloud decks. Using present day radiative properties (Figure 1b) and randomly overlapped cloud amount (Figure S2c), we use $\Delta f_{\text{Closed}}$ to estimate the morphology feedback resulting from a shift in morphology alone (Figure 3b-d). The contribution to global mean feedback varies by predicted morphology transition: closed to open MCC (0.04), to disorganized (0.07), or to an aggregate of open and disorganized (0.06 W m$^{-2}$ K$^{-1}$). Compared to other assessed cloud feedbacks (Sherwood et al., 2020), the optical depth component of the morphology feedback is non-trivial. Its geographic variations have the potential to modulate other feedback components. Our results emphasize the usefulness of applying a process-driven, morphological lens to interpretation and estimation of cloud feedback. This analysis also stresses the importance of developing an observational, process-based understanding of optically-thin cloud feature development across different cloud morphologies in the present climate in order to accurately estimate their climate impact in the future.

6 Open Research

62/MCD06COSP_D3_MDIS/ (Pincus et al., 2020). CMIP6 piControl and abrupt4×CO₂
simulations used in this study are available at https://esgf-node.llnl.gov/projects/
cmip6/. ECMWF ERA5 reanalysis products are available at https://confluence.ecmwf.
.int/display/CKB/ERA5%3A+data+documentation (Copernicus Climate Change Ser-
vice, 2017).

Acknowledgments
We acknowledge the World Climate Research Programme and its Working Group on Cou-
pled Modelling for coordinating CMIP6; the climate modeling groups involved for their
simulations; the Earth System Grid Federation (ESGF) for archiving and facilitating data
usage; and the multiple funding agencies who support CMIP and ESGF efforts. We thank
our editor, Hui Su, and two anonymous reviewers for their insights. Research by ILM
is supported by the NOAA Climate and Global Change Postdoctoral Fellowship Pro-
gram, administered by UCAR’s Cooperative Programs for the Advancement of Earth
System Science (CPAESS) under award NA18NWS4620043B. DTM acknowledges sup-
port from the Process-Based Climate Simulation: Advances in High-Resolution Mod-
elling and European Climate Risk Assessment (PRIMAVERA) project funded by the
European Union’s Horizon 2020 program under Grant Agreement 641727, from NASA
PMM Grant 80NSSC22K0599, NASA MAP Grant 80NSSC21K2014, and DOE-ASR Grant
DE-SC0002227. RW acknowledges support from the NASA MEASURES grant NASA0004-
02 AM1 and NASA CloudSat and CALIPSO Science Team award 80NSSC19K1274. PZ
acknowledges support from NOAA CPO grant NA19OAR4310379. FAMB acknowledges
support from the Swedish Research Council, project 2018-04274, and the Swedish e-Science
Research Center (SeRC).

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doi: 10.1002/2017MS001104


Supporting Information for 

The Role of Mesoscale Cloud Morphology in the Shortwave Cloud Feedback

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Contents of this file

1. Text S1 to S2
2. Table S1
3. Figures S1 to S10

Text S1. We can examine the predicted changes in CMIP6 models (Figure S2, S3) in more detail to determine if the responses are i) consistent across models and ii) similar to the large-scale changes estimated in previous studies. Individual CMIP6 models behave similarly to each other (Figure S3, S4) with small multi-model standard deviations (Figure S5a, d) especially when scaled by their multi-model mean (0–0.5, Figure S5c, d). Small differences between model responses in $\Delta M/\Delta T$ can be seen in regions where the details of ocean-atmosphere interactions likely vary between models (Figure S5d). Similarly, $\Delta SST/\Delta T$ exhibits the largest model differences in the region of the North Atlantic subpolar gyre (e.g., Borchert et al., 2021; Carmo-Costa et al., 2022) (Figure S5c).

We can particularly contrast the CMIP6 tendencies from this subset of GCMs with the CMIP5 $ abrupt_4 \times CO_2$ simulation results in Qu, Hall, Klein, and Caldwell (2014b). Comparing to their Figure 9, we can look at the typical behavior of temperature mediated (scaled by the change in tropical air temperature) estimated inversion strength (EIS) and surface temperature (SST) focusing on the early stage (first 30 years) which experiences the largest response. We can estimate EIS from $ M $ and $ \Delta T_{air-sea} = SST - T_{sm} $ using the $ M \approx \Delta T_{air-sea} - EIS $ + constant relationship from I. L. McCoy, Wood, and Fletcher (2017). In general, the global increase in $ EIS/\Delta T $ which is emphasized in sub-tropical decks (Figure S6a) and the global increase in $ \Delta SST/\Delta T $ with larger increases at the high-latitudes (Figure S2a) agrees with expected behavior under climate change (e.g., Qu et al., 2014b). The regionally varying although generally decreasing $ M/\Delta T $ follows from this, with the large North Atlantic decrease associated with strong weakening of marine cold air outbreaks consistent with expectations (e.g., Kolstad & Bracegirdle, 2008) (Figure S2b). We can also examine the expanded Klein-Hartmann boxes (Klein & Hartmann, 1993; Qu et al., 2014a, 2015) in more detail, which capture a range of MCC cloud morphologies in key sub-tropical regions (Figure S1, S6a). Multi-model changes are consistent in behavior with earlier studies (Qu et al., 2014b). Individual models agree in sign across regions and regional multi-model means are within 25–75% of each other (Figure Sb–e).

In summary, these investigations into the CMIP6 predictions under $ abrupt_4 \times CO_2 $ simulations indicate that the changes in large-scale environment predicted by this set of 11 CMIP6 models are consistent with the behaviors expected by prior studies. The multi-model mean values of $ \Delta M/\Delta T $ and $ \Delta SST/\Delta T $ shown in Figure S2a, b are thus reasonable to use in our analysis.

Text S2. The multiple linear regressions used in Equations 2 and 3 of the main text are weighted by the number of observations in each bin. For reliability, only bins where there is a sufficient number of all MCC identifications ($ N_{\text{Total}} \geq 500 $) and closed MCC identifications ($ N_{\text{Closed}} \geq 100 $) are included in the fits. Because of the split-fit formulation in Equation 3, it was also necessary to apply bootstrapping for uncertainty estimation. Fits are bootstrapped with replacement ($ \times 5000 $) from the original $ \Delta f_{\text{Closed}}-\Delta SST $ matrix from Figure 2a. The explained variance of both regressions is high ($ R^2 = 0.99 $). Mean and standard deviation of coefficients (calculated over all 5000 bootstrapped fits) for Equations 2, 3 are provided in Table S1.

We additionally checked for collinearity between predictors (bins of $ M $, SST where $ N_{\text{Total}} \geq 500 $, $ N_{\text{Closed}} \geq 100 $) and found that it was minimal as the correlation was very low. For all input data (Equation 2), $ R^2 = 0.034 $. For Equation 3, $ R^2 = 0.04 $ for the data subset where $ SST > 290 $ K and 0.03 for $ SST \leq 290 $ K. All of these correlations are well below the $ R^2 = 0.9 $ threshold where predictor collinearity becomes an issue (Qu et al., 2015; D. T. McCoy et al., 2022).

References


Table S1. Mean and Standard Deviations of Regression Coefficients for Equations 2 and 3\textsuperscript{a,b}

<table>
<thead>
<tr>
<th>Fit</th>
<th>(a) (K(^{-1}))</th>
<th>(b) (K(^{-1}))</th>
<th>(c)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Total</td>
<td>-0.0269±0.0003</td>
<td>-0.0161±0.0002</td>
<td>4.64±0.05</td>
</tr>
<tr>
<td>(SST &gt; 290) K</td>
<td>-0.0230±0.0004</td>
<td>-0.0145±0.0004</td>
<td>4.19±0.12</td>
</tr>
<tr>
<td>(SST \leq 290) K</td>
<td>-0.0322±0.0002</td>
<td>-0.0165±0.0002</td>
<td>4.69±0.05</td>
</tr>
</tbody>
</table>

\textsuperscript{a} Fits of \(f_{\text{Closed}} - M - SST\) data (Figure 2a) generally take the form: \(f_{\text{Closed}} = a \cdot M + b \cdot SST + c\).

\textsuperscript{b} Equation 2 uses coefficients from row 1, Equation 3 uses coefficients from rows 2 and 3.

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![Figure S1](image_url)

**Figure S1.** Annual mean MIDAS cloud morphology relative occurrence frequencies for 2003-2018: a) closed, b) open, and c) cellular but disorganized MCC.
Figure S2. CMIP6 simulated change from $ptControl$ to $abrupt4 \times CO_2$ in a) sea surface temperature (SST) and b) lower tropospheric stability (as measured by the marine cold air outbreak index, M) per degree of global warming (measured by area-weighted change in 2 m air temperature, $\Delta T$). c) Annual mean estimate of random-overlapped low cloud fraction from the MODIS cloud mask (Pincus et al., 2020), following Scott et al. (2020). The black box in c) shows the out-of-sample test region (15-30$^\circ$N, 140-115$^\circ$W) where a marine heatwave was influential between November 2013-January 2016 (Myers et al., 2018; Schmeisser et al., 2019; Myers et al., 2021).
Figure S3. Simulated $\Delta SST/\Delta T$ for individual CMIP6 models contributing to the multi-model mean shown in Figure S2a.
Figure S4. Simulated $\Delta M/\Delta T$ for individual CMIP6 models contributing to the multi-model mean shown in Figure S2b.
Figure S5. Standard deviation across individual CMIP6 model means for a) $\Delta SST/\Delta T$ and c) $\Delta M/\Delta T$. Ratio of multi model standard deviation over multi-model mean for b) $\Delta SST/\Delta T$ and d) $\Delta M/\Delta T$. 
Figure S6. CMIP6 simulated changes for a) key subtropical regions in Qu et al. (2014a) for b) $\Delta SST/\Delta T$, c) $\Delta M/\Delta T$, d) $\Delta T_{air-sea}/\Delta T$, and e) an approximate estimate of $\Delta EIS/\Delta T$ using $M \approx \Delta T_{air-sea} - EIS + constant$ (I. L. McCoy et al., 2017). a) The multi-model mean of the approximate $\Delta EIS/\Delta T$, as in Figure S2. b-e) Individual model means (shapes) are shown with the multi-model mean (red circle), 5-95% (thin gray lines), and 25-75% (thick grey lines) for separate regional boxes in a) and the combined regional box behavior.
Figure S7. Predicting MIDAS identified scene albedo from Figure 1 using multiple linear regressions with a) CF and b) CF and $f_{thin}$ as predictors. Fit predicted albedo is shown on the y-axis and the raw scene albedo is on the x-axis. Combined total (black), closed MCC (blue), open MCC (pink), and cellular but disorganized (orange) identifications are fit separately. $R^2$ and $p$ values are shown for the individual (Raw) points and for the mean fitted albedo within 25 x-axis quantile bins (Bin). Thick lines show 2SE and thin the 25-75% range within each quantile. Slope ($m$) and intercept ($c$) are shown for the linear fit applied to the quantile bins (line). A dashed 1:1 line is included for reference. Generally, the closer $m$ is to one and $c$ is to zero, the better the prediction with the regression model, suggesting b) captures more of albedo behavior than a).

Figure S8. As in Figure 2a-c but for the full MIDAS period (2003-2018): the MIDAS relative occurrence frequency in the M-SST environmental phase space a) closed, b) open, and c) disorganized MCC.
Figure S9. As in Figure 2d but using Equation 2 to predict $f_{\text{Closed}}$ from Figure 1a.
Figure S10. As in Figure 3 but predicted from Equation 4 using coefficients from the no-split model in Equation 2 instead of the split model in Equation 3. a) $\Delta f_{\text{closed}} / \Delta T$ with the optical depth component of the morphology feedback per $\Delta T$ assuming closed MCC shift to b) open MCC, c) cellular but disorganized MCC, or d) an aggregate of open and disorganized MCC dependent on initial SST.